

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return (other than OPCs and Small Companies)

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L17100MH1905PLC000200

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAACP3325J

(ii) (a) Name of the company

PHOENIX MILLS LIMITED

(b) Registered office address

462 SENAPATI BAPAT MARG,
LOWER PAREL
MUMBAI
Maharashtra
400013



(c) *e-mail ID of the company

secretarial@phoenixmills.com

(d) *Telephone number with STD code

02230016600

(e) Website

www.thephoenixmills.com

(iii) Date of Incorporation

27/01/1905

| (iv) | Type of the Company | Category of the Company | Sub-category of the Company |
|------|---------------------|---------------------------|-------------------------------|
| | Public Company | Company limited by shares | Indian Non-Government company |

(v) Whether company is having share capital

☒ Yes ☐ No

(vi) *Whether shares listed on recognized Stock Exchange(s)

☒ Yes ☐ No

(a) Details of stock exchanges where shares are listed

| S. No. | Stock Exchange Name | Code |
|--------|--|-------|
| 1 | BSE Limited | 1 |
| 2 | National Stock Exchange of India Limited | 1,024 |

(b) CIN of the Registrar and Transfer Agent

U67190MH1999PTC118368

Pre-fill

Name of the Registrar and Transfer Agent

LINK INTIME INDIA PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park,
Lal Bahadur Shastri Marg, Vikhroli (West)

(vii) *Financial year From date 01/04/2020 (DD/MM/YYYY) To date 31/03/2021 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held ☐ Yes ☒ No

(a) If yes, date of AGM

(b) Due date of AGM

30/09/2021

(c) Whether any extension for AGM granted

☐ Yes ☒ No

(f) Specify the reasons for not holding the same

AGM will be held on September 24, 2021

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

1

| S.No | Main Activity group code | Description of Main Activity group | Business Activity Code | Description of Business Activity | % of turnover of the company |
|------|--------------------------|------------------------------------|------------------------|--|------------------------------|
| 1 | L | Real Estate | L1 | Real estate activities with own or leased property | 100 |

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

31

Pre-fill All

| S.No | Name of the company | CIN / FCRN | Holding/ Subsidiary/Associate/ Joint Venture | % of shares held |
|------|----------------------------------|-----------------------|---|------------------|
| 1 | BELLONA HOSPITALITY SERVICE + | U74999MH1995PLC085663 | Subsidiary | 100 |
| 2 | BUTALA FARM LANDS PRIVATE + | U70200MH1996PTC104404 | Subsidiary | 100 |
| 3 | ENHANCE HOLDINGS PRIVATE + | U67120MH2007PTC169479 | Subsidiary | 100 |
| 4 | MARKET CITY MANAGEMENT P + | U74999MH2008PTC183667 | Subsidiary | 100 |
| 5 | MARKET CITY RESOURCES PRIV + | U55100MH2006PTC159544 | Subsidiary | 100 |
| 6 | MUGWORT LAND HOLDINGS P + | U45202MH2007PTC169133 | Subsidiary | 95.2 |
| 7 | OFFBEAT DEVELOPERS PRIVAT + | U55200MH2000PTC124192 | Subsidiary | 100 |
| 8 | PALLADIUM CONSTRUCTIONS + | U45400MH2008PTC178115 | Subsidiary | 100 |
| 9 | PALLAZZIO HOTELS & LEISURE + | U67120MH1995PLC085664 | Subsidiary | 100 |
| 10 | PINNACLE REAL ESTATE DEVEL + | U70100MH2006PTC161072 | Subsidiary | 100 |
| 11 | PLUTOCRAT COMMERCIAL REA + | U70100MH1991PTC060487 | Subsidiary | 100 |
| 12 | SAVANNAH PHOENIX PRIVATE + | U55101MH2012PTC235585 | Subsidiary | 100 |
| 13 | VAMONA DEVELOPERS PRIVAT + | U45201MH2006PTC165253 | Subsidiary | 99.97 |
| 14 | MINDSTONE MALL DEVELOPER + | U70109MH2018PTC310896 | Subsidiary | 100 |
| 15 | DESTINY RETAIL MALL DEVELC + | U55101MH2007PTC173673 | Subsidiary | 100 |
| 16 | BIG APPLE REAL ESTATE PRIVA + | U17125UP2007PTC083025 | Subsidiary | 100 |
| 17 | BLACKWOOD DEVELOPERS PR + | U45400MH2007PTC292992 | Subsidiary | 100 |
| 18 | GANGETIC DEVELOPERS PRIVA + | U74899MH1951PTC329129 | Subsidiary | 97.08 |
| 19 | SANGAM INFRABUILD CORPOF + | U45201UP2006PTC031651 | Subsidiary | 100 |
| 20 | UPAL DEVELOPERS PRIVATE LI + | U45201MH2006PTC292993 | Subsidiary | 100 |
| 21 | ISLAND STAR MALL DEVELOPE + | U45200MH2006PTC161067 | Subsidiary | 51 |
| 22 | ALYSSUM DEVELOPERS PRIVAT + | U70109MH2017PTC292588 | Subsidiary | 100 |
| 23 | INSIGHT MALL DEVELOPERS PF + | U55101MH2007PTC169124 | Subsidiary | 100 |
| 24 | SPARKLE ONE MALL DEVELOPE + | U70109MH2017PTC299103 | Subsidiary | 100 |

| | | | | |
|----|----------------------------------|-----------------------|------------|-------|
| 25 | SPARKLE TWO MALL DEVELOP + | U70109MH2018PTC308657 | Subsidiary | 100 |
| 26 | PHOENIX HOSPITALITY COMP + | U55209MH2006PTC161066 | Subsidiary | 56.92 |
| 27 | ALLIANCE SPACES PRIVATE LIM + | U55101MH2007PTC169101 | Subsidiary | 100 |
| 28 | GRACEWORKS REALTY & LEISU + | U72900MH2000PTC126232 | Subsidiary | 100 |
| 29 | RENTCIERGE DEVELOPERS PRIV + | U70103MH2019PTC328932 | Subsidiary | 100 |
| 30 | CLASSIC MALL DEVELOPMENT + | U70100MH2005PLC156875 | Associate | 50 |
| 31 | CLASSIC HOUSING PROJECTS F + | U45400MH2005PTC156887 | Associate | 50 |

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

| Particulars | Authorised capital | Issued capital | Subscribed capital | Paid up capital |
|---|--------------------|----------------|--------------------|-----------------|
| Total number of equity shares | 225,000,000 | 171,871,176 | 171,871,176 | 171,871,176 |
| Total amount of equity shares (in Rupees) | 450,000,000 | 343,742,352 | 343,742,352 | 343,742,352 |

Number of classes

1

| Class of Shares | Authorised capital | Issued capital | Subscribed capital | Paid up capital |
|---|--------------------|----------------|--------------------|-----------------|
| EQUITY | | | | |
| Number of equity shares | 225,000,000 | 171,871,176 | 171,871,176 | 171,871,176 |
| Nominal value per share (in rupees) | 2 | 2 | 2 | 2 |
| Total amount of equity shares (in rupees) | 450,000,000 | 343,742,352 | 343,742,352 | 343,742,352 |

(b) Preference share capital

| Particulars | Authorised capital | Issued capital | Subscribed capital | Paid-up capital |
|---|--------------------|----------------|--------------------|-----------------|
| Total number of preference shares | 0 | 0 | 0 | 0 |
| Total amount of preference shares (in rupees) | 0 | 0 | 0 | 0 |

Number of classes

0

| Class of shares | Authorised capital | Issued capital | Subscribed capital | Paid up capital |
|---|--------------------|----------------|--------------------|-----------------|
| | | | | |
| Number of preference shares | | | | |
| Nominal value per share (in rupees) | | | | |
| Total amount of preference shares (in rupees) | | | | |

(c) Unclassified share capital

| Particulars | Authorised Capital |
|-------------------------------------|--------------------|
| Total amount of unclassified shares | 0 |

(d) Break-up of paid-up share capital

| Class of shares | Number of shares | | | Total nominal amount | Total Paid-up amount | Total premium |
|---|------------------|-------------|-----------|----------------------|----------------------|---------------|
| Equity shares | Physical | DEMAT | Total | | | |
| At the beginning of the year | 305,022 | 153,157,418 | 153462440 | 306,924,880 | 306,924,880 | |
| | | + | | + | + | |
| Increase during the year | 0 | 18,426,236 | 18426236 | 36,852,472 | 36,852,472 | 11,038,408,8 |
| i. Public Issues | 0 | 0 | 0 | 0 | 0 | |
| ii. Rights issue | 0 | 0 | 0 | 0 | 0 | |
| iii. Bonus issue | 0 | 0 | 0 | 0 | 0 | 0 |
| iv. Private Placement/ Preferential allotment | 0 | 18,181,818 | 18181818 | 36,363,636 | 36,363,636 | 10,963,636,2 |
| | | | | | + | + |
| v. ESOPs | 0 | 226,918 | 226918 | 453,836 | 453,836 | 74,772,561 |
| vi. Sweat equity shares allotted | 0 | 0 | 0 | 0 | 0 | 0 |
| vii. Conversion of Preference share | 0 | 0 | 0 | 0 | 0 | 0 |
| viii. Conversion of Debentures | 0 | 0 | 0 | 0 | 0 | 0 |
| ix. GDRs/ADRs | 0 | 0 | 0 | 0 | 0 | 0 |
| x. Others, specify | 0 | 17,500 | 17500 | 35,000 | 35,000 | 0 |
| Dematerialisation during the year | | | | | | |
| Decrease during the year | 17,500 | 0 | 17500 | 35,000 | 35,000 | 0 |
| i. Buy-back of shares | 0 | 0 | 0 | 0 | 0 | 0 |
| ii. Shares forfeited | 0 | 0 | 0 | 0 | 0 | 0 |
| iii. Reduction of share capital | 0 | 0 | 0 | 0 | 0 | 0 |

| | | | | | | |
|-------------------------------------|---------|-------------|-----------|-------------|-------------|---|
| iv. Others, specify | 17,500 | 0 | 17500 | 35,000 | 35,000 | |
| Dematerialisation during the year | | | | | | |
| At the end of the year | 287,522 | 171,583,654 | 171871176 | 343,742,352 | 343,742,352 | |
| | | | | | | |
| Preference shares | | | | | | |
| At the beginning of the year | 0 | 0 | 0 | 0 | 0 | |
| Increase during the year | 0 | 0 | 0 | 0 | 0 | 0 |
| i. Issues of shares | 0 | 0 | 0 | 0 | 0 | 0 |
| ii. Re-issue of forfeited shares | 0 | 0 | 0 | 0 | 0 | 0 |
| iii. Others, specify | | | | | | |
| | | | | | | |
| Decrease during the year | 0 | 0 | 0 | 0 | 0 | 0 |
| i. Redemption of shares | 0 | 0 | 0 | 0 | 0 | 0 |
| ii. Shares forfeited | 0 | 0 | 0 | 0 | 0 | 0 |
| iii. Reduction of share capital | 0 | 0 | 0 | 0 | 0 | 0 |
| iv. Others, specify | | | | | | |
| | | | | | | |
| At the end of the year | 0 | 0 | 0 | 0 | 0 | |

ISIN of the equity shares of the company

INE211B01039

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

| Class of shares | | (i) | (ii) | (iii) |
|------------------------------|----------------------|-----|------|-------|
| Before split / Consolidation | Number of shares | | | |
| | Face value per share | | | |
| After split / Consolidation | Number of shares | | | |
| | Face value per share | | | |

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

☐ Nil

[Details being provided in a CD/Digital Media]

☐ Yes ☒ No ☐ Not Applicable

Separate sheet attached for details of transfers

☒ Yes ☐ No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

| | |
|---|---|
| Date of the previous annual general meeting | <input type="text" value="25/09/2020"/> |
|---|---|

| | |
|--|----------------------|
| Date of registration of transfer (Date Month Year) | <input type="text"/> |
|--|----------------------|

| | | |
|------------------|----------------------|--|
| Type of transfer | <input type="text"/> | 1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock |
|------------------|----------------------|--|

| | | | |
|---|----------------------|---|----------------------|
| Number of Shares/ Debentures/ Units Transferred | <input type="text"/> | Amount per Share/ Debenture/Unit (in Rs.) | <input type="text"/> |
|---|----------------------|---|----------------------|

| | |
|----------------------------|----------------------|
| Ledger Folio of Transferor | <input type="text"/> |
|----------------------------|----------------------|

| | | | |
|-------------------|----------------------|----------------------|----------------------|
| Transferor's Name | <input type="text"/> | <input type="text"/> | <input type="text"/> |
|-------------------|----------------------|----------------------|----------------------|

| | | | |
|--|---------|-------------|------------|
| | Surname | middle name | first name |
|--|---------|-------------|------------|

| | |
|----------------------------|----------------------|
| Ledger Folio of Transferee | <input type="text"/> |
|----------------------------|----------------------|

| | | | |
|-------------------|----------------------|----------------------|----------------------|
| Transferee's Name | <input type="text"/> | <input type="text"/> | <input type="text"/> |
|-------------------|----------------------|----------------------|----------------------|

| | | | |
|--|---------|-------------|------------|
| | Surname | middle name | first name |
|--|---------|-------------|------------|

| | |
|--|----------------------|
| Date of registration of transfer (Date Month Year) | <input type="text"/> |
|--|----------------------|

| | | |
|------------------|----------------------|--|
| Type of transfer | <input type="text"/> | 1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock |
|------------------|----------------------|--|

| | | | |
|---|----------------------|---|----------------------|
| Number of Shares/ Debentures/ Units Transferred | <input type="text"/> | Amount per Share/ Debenture/Unit (in Rs.) | <input type="text"/> |
|---|----------------------|---|----------------------|

| | | | |
|----------------------------|---------|-------------|------------|
| Ledger Folio of Transferor | | | |
| Transferor's Name | | | |
| | Surname | middle name | first name |
| Ledger Folio of Transferee | | | |
| Transferee's Name | | | |
| | Surname | middle name | first name |

(iv) *Debentures (Outstanding as at the end of financial year)

| Particulars | Number of units | Nominal value per unit | Total value |
|-------------------------------|-----------------|------------------------|-------------|
| Non-convertible debentures | 0 | 0 | 0 |
| Partly convertible debentures | 0 | 0 | 0 |
| Fully convertible debentures | 0 | 0 | 0 |
| Total | | | 0 |

Details of debentures

| Class of debentures | Outstanding as at the beginning of the year | Increase during the year | Decrease during the year | Outstanding as at the end of the year |
|--------------------------------------|---|--------------------------|--------------------------|---------------------------------------|
| Non-convertible debentures | 0 | 0 | 0 | 0 |
| Partly convertible debentures | 0 | 0 | 0 | 0 |
| Fully convertible debentures | 0 | 0 | 0 | 0 |

(v) Securities (other than shares and debentures)

0

| Type of Securities | Number of Securities | Nominal Value of each Unit | Total Nominal Value | Paid up Value of each Unit | Total Paid up Value |
|--------------------|----------------------|----------------------------|---------------------|----------------------------|---------------------|
| | | | | | |
| | | | | | |
| Total | | | | | |

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

2,491,808,769

(ii) Net worth of the Company

41,685,166,859

VI. (a) *SHARE HOLDING PATTERN - Promoters

| S. No. | Category | Equity | | Preference | |
|--------|---|------------------|------------|------------------|------------|
| | | Number of shares | Percentage | Number of shares | Percentage |
| 1. | Individual/Hindu Undivided Family | | | | |
| | (i) Indian | 4,226,590 | 2.46 | 0 | |
| | (ii) Non-resident Indian (NRI) | 0 | 0 | 0 | |
| | (iii) Foreign national (other than NRI) | 0 | 0 | 0 | |
| 2. | Government | | | | |
| | (i) Central Government | 0 | 0 | 0 | |
| | (ii) State Government | 0 | 0 | 0 | |
| | (iii) Government companies | 0 | 0 | 0 | |
| 3. | Insurance companies | 0 | 0 | 0 | |
| 4. | Banks | 0 | 0 | 0 | |
| 5. | Financial institutions | 0 | 0 | 0 | |
| 6. | Foreign institutional investors | 0 | 0 | 0 | |
| 7. | Mutual funds | 0 | 0 | 0 | |
| 8. | Venture capital | 0 | 0 | 0 | |
| 9. | Body corporate (not mentioned above) | 73,708,962 | 42.89 | 0 | |
| 10. | Others Trust | 278,935 | 0.16 | 0 | |
| | Total | 78,214,487 | 45.51 | 0 | 0 |

Total number of shareholders (promoters)

9

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

| S. No. | Category | Equity | | Preference | |
|--------|----------|------------------|------------|------------------|------------|
| | | Number of shares | Percentage | Number of shares | Percentage |

| | | | | | |
|-----|--|------------|-------|---|---|
| 1. | Individual/Hindu Undivided Family | | | | |
| | (i) Indian | 4,295,635 | 2.5 | 0 | |
| | (ii) Non-resident Indian (NRI) | 196,755 | 0.11 | 0 | |
| | (iii) Foreign national (other than NRI) | 0 | 0 | 0 | |
| 2. | Government | | | | |
| | (i) Central Government | 6,953 | 0 | 0 | |
| | (ii) State Government | 0 | 0 | 0 | |
| | (iii) Government companies | 0 | 0 | 0 | |
| 3. | Insurance companies | 1,131,018 | 0.66 | 0 | |
| 4. | Banks | 1,000 | 0 | 0 | |
| 5. | Financial institutions | 0 | 0 | 0 | |
| 6. | Foreign institutional investors | 58,958,021 | 34.3 | 0 | |
| 7. | Mutual funds | 26,293,012 | 15.3 | 0 | |
| 8. | Venture capital | 0 | 0 | 0 | |
| 9. | Body corporate (not mentioned above) | 823,219 | 0.48 | 0 | |
| 10. | Others (AIF, IEPF, Trust, Clearing Member) | 1,951,076 | 1.14 | 0 | |
| | Total | 93,656,689 | 54.49 | 0 | 0 |

Total number of shareholders (other than promoters)

22,764

**Total number of shareholders (Promoters+Public/
Other than promoters)**

22,773

(c) *Details of Foreign institutional investors' (FIIs) holding shares of the company

187

| Name of the FII | Address | Date of Incorporation | Country of Incorporation | Number of shares held | % of shares held |
|------------------------|-------------------------------------|-----------------------|--------------------------|-----------------------|------------------|
| ABS DIRECT EQUITY FUND | STANDARD CHARTERED BANK SECURITIES | | | 31,700 | 0.02 |
| ALASKA PERMANENT FUND | DEUTSCHE BANK AG DB HOUSE, HAMBURG | | | 14,577 | 0.01 |
| AMUNDI FUNDS EMEF | HSBC SECURITIES SERVICES 11TH FLOOR | | | 240,206 | 0.14 |
| CITY OF LOS ANGELES | CITIBANK N.A. CUSTODY SERVICES | | | 7,394 | 0 |

| Name of the FII | Address | Date of Incorporation | Country of Incorporation | Number of shares held | % of shares held |
|----------------------|---------------------------------|-----------------------|--------------------------|-----------------------|------------------|
| EMERGING MARKETS C | CITIBANK N.A. CUSTODY SERVICES | | | 341,324 | 0.2 |
| EMERGING MARKETS I | DEUTSCHE BANK AG, DB HOUSE H | | | 32,115 | 0.02 |
| EMERGING MARKETS S | CITIBANK N.A. CUSTODY SERVICES | | | 7,311 | 0 |
| FIDUCIAN INDIA FUND | CITIBANK N.A. CUSTODY SERVICES | | | 11,613 | 0.01 |
| INTERNATIONAL BANK | DEUTSCHE BANK AG, DB HOUSE H | | | 383,054 | 0.22 |
| INVESTINGSFORENI | HSBC SECURITIES SERVICES 11TH F | | | 2,042 | 0 |
| ISHARES IV PUBLIC LI | CITIBANK N.A. CUSTODY SERVICES | | | 20,010 | 0.01 |
| JAPAN TRUSTEE SERVI | HSBC SECURITIES SERVICES 11TH F | | | 20,100 | 0.01 |
| BEST INVESTMENT CO | HSBC SECURITIES SERVICES 11TH F | | | 489,946 | 0.29 |
| C WORLDWIDE | JPMORGAN CHASE BANK N.A. IND | | | 420,476 | 0.24 |
| C WORLDWIDE INDIA | JPMORGAN CHASE BANK N.A. IND | | | 20,426 | 0.01 |
| CALIFORNIA STATE TE | DEUTSCHE BANK AG, DB HOUSE, F | | | 69,333 | 0.04 |
| CITY OF NEW YORK GF | DEUTSCHE BANK AG DB HOUSE, H | | | 72,962 | 0.04 |
| EMERGING MARKETS T | DEUTSCHE BANK AG, DB HOUSE H | | | 20,366 | 0.01 |
| GRD 13 | HSBC SECURITIES SERVICES 11TH F | | | 61,350 | 0.04 |
| INDIA AVENUE EQUITY | BNP PARIBAS HOUSE 1 NORTH AV | | | 24,657 | 0.01 |
| ISHARES CORE EMERG | CITIBANK N.A. CUSTODY SERVICES | | | 661,456 | 0.38 |
| JANA EMERGING MAR | CITIBANK N.A. CUSTODY SERVICES | | | 111,288 | 0.06 |
| JAPAN TRUSTEE SERVI | HSBC SECURITIES SERVICES 11TH F | | | 30,000 | 0.02 |
| KAPITALFORENINGEN | DEUTSCHE BANK AG, DB HOUSE H | | | 79,883 | 0.05 |
| ABU DHABI INVESTME | JPMORGAN CHASE BANK N.A. IND | | | 508,250 | 0.3 |

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)

| Details | At the beginning of the year | At the end of the year |
|-----------------------------------|------------------------------|------------------------|
| Promoters | 8 | 9 |
| Members (other than promoters) | 14,324 | 22,764 |
| Debenture holders | 0 | 0 |

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

| Category | Number of directors at the beginning of the year | | Number of directors at the end of the year | | Percentage of shares held by directors as at the end of year | |
|--|--|---------------|--|---------------|--|---------------|
| | Executive | Non-executive | Executive | Non-executive | Executive | Non-executive |
| A. Promoter | 0 | 1 | 0 | 1 | 0 | 1.93 |
| B. Non-Promoter | 3 | 4 | 2 | 4 | 0.05 | 0 |
| (i) Non-Independent | 3 | 0 | 2 | 0 | 0.05 | 0 |
| (ii) Independent | 0 | 4 | 0 | 4 | 0 | 0 |
| C. Nominee Directors representing | 0 | 0 | 0 | 0 | 0 | 0 |
| (i) Banks & FIs | 0 | 0 | 0 | 0 | 0 | 0 |
| (ii) Investing institutions | 0 | 0 | 0 | 0 | 0 | 0 |
| (iii) Government | 0 | 0 | 0 | 0 | 0 | 0 |
| (iv) Small share holders | 0 | 0 | 0 | 0 | 0 | 0 |
| (v) Others | 0 | 0 | 0 | 0 | 0 | 0 |
| Total | 3 | 5 | 2 | 5 | 0.05 | 1.93 |

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

8

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

| Name | DIN/PAN | Designation | Number of equity share(s) held | Date of cessation (after closure of financial year : If any) |
|-----------|----------|-------------|--------------------------------|--|
| Atul Ruia | 00087396 | Director | 3,321,000 | |

| Name | DIN/PAN | Designation | Number of equity share(s) held | Date of cessation (after closure of financial year : If any) |
|-----------------------|------------|---------------------|--------------------------------|--|
| Shishir Shrivastava | 01266095 | Managing Director | 83,300 | |
| Rajendra Kalkar | 03269314 | Whole-time director | 7,500 | |
| Amit Dalal | 00297603 | Director | 0 | |
| Amit Dabriwala | 00164763 | Director | 0 | |
| Sivaramakrishnan Iyer | 00503487 | Director | 0 | |
| Shweta Vyas | 06996110 | Director | 0 | |
| Gajendra Mewara | AROPM1683N | Company Secretary | 40 | |

(ii) Particulars of change in director(s) and Key managerial personnel during the year

3

| Name | DIN/PAN | Designation at the beginning / during the financial year | Date of appointment/ change in designation/ cessation | Nature of change (Appointment/ Change in designation/ Cessation) |
|------------------|------------|--|---|--|
| Pradumna Kanodia | 01602690 | Whole-time director | 28/02/2021 | Cessation |
| Shweta Vyas | 06996110 | Additional director | 25/09/2020 | Change in designation from Additional director to Director |
| Pradumna Kanodia | ABFPK0916R | CFO | 28/02/2021 | Cessation |

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

2

| Type of meeting | Date of meeting | Total Number of Members entitled to attend meeting | Attendance | |
|------------------------|-----------------|--|----------------------------|-------------------------|
| | | | Number of members attended | % of total shareholding |
| Annual General Meeting | 25/09/2020 | 21,850 | 52 | 50.89 |
| NCLT Convened Meeting | 29/12/2020 | 21,190 | 55 | 45.37 |

B. BOARD MEETINGS

*Number of meetings held

4

| S. No. | Date of meeting | Total Number of directors associated as on the date of meeting | Attendance | |
|--------|-----------------|--|------------------------------|-----------------|
| | | | Number of directors attended | % of attendance |

| S. No. | Date of meeting | Total Number of directors associated as on the date of meeting | Attendance | |
|--------|-----------------|--|------------------------------|-----------------|
| | | | Number of directors attended | % of attendance |
| 1 | 29/06/2020 | 8 | 8 | 100 |
| 2 | 29/07/2020 | 8 | 8 | 100 |
| 3 | 12/11/2020 | 8 | 8 | 100 |
| 4 | 12/02/2021 | 8 | 8 | 100 |

C. COMMITTEE MEETINGS

Number of meetings held

24

| S. No. | Type of meeting | Date of meeting | Total Number of Members as on the date of the meeting | Attendance | |
|--------|-----------------|-----------------|---|----------------------------|-----------------|
| | | | | Number of members attended | % of attendance |
| 1 | Audit Committee | 29/06/2020 | 3 | 3 | 100 |
| 2 | Audit Committee | 29/07/2020 | 3 | 3 | 100 |
| 3 | Audit Committee | 12/11/2020 | 3 | 3 | 100 |
| 4 | Audit Committee | 12/02/2021 | 3 | 3 | 100 |
| 5 | Capital Raising | 13/07/2020 | 3 | 3 | 100 |
| 6 | Capital Raising | 07/08/2020 | 3 | 3 | 100 |
| 7 | Capital Raising | 18/08/2020 | 3 | 3 | 100 |
| 8 | Capital Raising | 21/08/2020 | 3 | 3 | 100 |
| 9 | Capital Raising | 22/08/2020 | 3 | 3 | 100 |
| 10 | Compensation | 30/09/2020 | 4 | 2 | 50 |

D. *ATTENDANCE OF DIRECTORS

| S. No. | Name of the director | Board Meetings | | | Committee Meetings | | | Whether attended AGM held on |
|--------|----------------------|--|-----------------------------|-----------------|--|-----------------------------|-----------------|------------------------------|
| | | Number of Meetings which director was entitled to attend | Number of Meetings attended | % of attendance | Number of Meetings which director was entitled to attend | Number of Meetings attended | % of attendance | |
| | | | | | | | | (Y/N/NA) |
| 1 | Atul Ruia | 4 | 4 | 100 | 19 | 18 | 94.74 | |
| 2 | Shishir Shrivastava | 4 | 4 | 100 | 12 | 12 | 100 | |

| | | | | | | | | |
|---|----------------|---|---|-----|---|---|------|--|
| 3 | Rajendra Kalk | 4 | 4 | 100 | 1 | 1 | 100 | |
| 4 | Amit Dalal | 4 | 4 | 100 | 0 | 0 | 0 | |
| 5 | Amit Dabriwala | 4 | 4 | 100 | 0 | 0 | 0 | |
| 6 | Sivaramakrish | 4 | 4 | 100 | 1 | 1 | 100 | |
| 7 | Shweta Vyas | 4 | 4 | 100 | 8 | 7 | 87.5 | |

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

| S. No. | Name | Designation | Gross Salary | Commission | Stock Option/ Sweat equity | Others | Total Amount |
|--------|-----------------|---------------------|--------------|------------|-------------------------------|-----------|-----------------|
| 1 | Rajendra Kalkar | Whole Time Director | 7,467,454 | 0 | 0 | 4,342,320 | 11,809,774 |
| | Total | | 7,467,454 | 0 | 0 | 4,342,320 | 11,809,774 |

Number of CEO, CFO and Company secretary whose remuneration details to be entered

1

| S. No. | Name | Designation | Gross Salary | Commission | Stock Option/ Sweat equity | Others | Total Amount |
|--------|-----------------|-------------------|--------------|------------|-------------------------------|--------|-----------------|
| 1 | Gajendra Mewara | Company Secretary | 2,489,439 | 0 | 0 | 21,600 | 2,511,039 |
| | Total | | 2,489,439 | 0 | 0 | 21,600 | 2,511,039 |

Number of other directors whose remuneration details to be entered

4

| S. No. | Name | Designation | Gross Salary | Commission | Stock Option/ Sweat equity | Others | Total Amount |
|--------|-----------------------|----------------------|--------------|------------|-------------------------------|---------|-----------------|
| 1 | Amit Dabriwala | Independent Director | 0 | 227,500 | 0 | 180,000 | 407,500 |
| 2 | Amit Dalal | Independent Director | 0 | 227,500 | 0 | 120,000 | 347,500 |
| 3 | Sivaramakrishnan Iyer | Independent Director | 0 | 227,500 | 0 | 120,000 | 347,500 |
| 4 | Shweta Vyas | Independent Director | 0 | 227,500 | 0 | 180,000 | 407,500 |
| | Total | | 0 | 910,000 | 0 | 600,000 | 1,510,000 |

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

- * A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☒ Yes ☐ No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

| Name of the company/ directors/ officers | Name of the court/ concerned Authority | Date of Order | Name of the Act and section under which penalised / punished | Details of penalty/ punishment | Details of appeal (if any) including present status |
|--|--|---------------|--|--------------------------------|---|
| | | | | | |

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

| Name of the company/ directors/ officers | Name of the court/ concerned Authority | Date of Order | Name of the Act and section under which offence committed | Particulars of offence | Amount of compounding (in Rupees) |
|--|--|---------------|---|------------------------|-----------------------------------|
| | | | | | |

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

☐ Yes ☒ No

(In case of 'No', submit the details separately through the method specified in instruction kit)

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

HIMANSHU KAMDAR, RATHI & ASSOCIATES

Whether associate or fellow

☐ Associate ☒ Fellow

Certificate of practice number

3030

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

DIN of the director

01266095

To be digitally signed by

☒ Company Secretary

☐ Company secretary in practice

Membership number

22941

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

Attach

Attach

Attach

Attach

List of attachments

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

Details of Committee Meetings for F.Y. 2020-21

| Sr. No. | Type of Meeting | Date of Meeting | Total Number of Members as on the date of the Meeting | Attendance | |
|---------|---|--------------------|---|-------------------------|-----------------|
| | | | | No. of Members attended | % of attendance |
| 1 | Corporate Social Responsibility Committee | June 29, 2020 | 3 | 3 | 100.00 |
| 2 | Finance and Investment Committee | August 25, 2020 | 3 | 3 | 100.00 |
| 3 | | September 18, 2020 | 3 | 3 | 100.00 |
| 4 | | November 12, 2020 | 3 | 3 | 100.00 |
| 5 | | January 05, 2021 | 3 | 3 | 100.00 |
| 6 | | February 10, 2021 | 3 | 3 | 100.00 |
| 7 | | February 12, 2021 | 3 | 3 | 100.00 |
| 8 | Nomination and Remuneration Committee | June 29, 2020 | 4 | 4 | 100.00 |
| 9 | | July 29, 2020 | 4 | 4 | 100.00 |
| 10 | Risk Management Committee | June 29, 2020 | 4 | 4 | 100.00 |
| 11 | | July 29, 2020 | 4 | 4 | 100.00 |
| 12 | | November 12, 2020 | 4 | 4 | 100.00 |
| 13 | | February 12, 2021 | 4 | 4 | 100.00 |
| 14 | Stakeholder Relationship Committee | February 12, 2021 | 3 | 3 | 100.00 |

The Phoenix Mills Limited

Details of shares/debentures transfers from

01/04/2020

To

31/03/2021

Closure Date :

31-03-2020

| TRANSFER DATE | TYPE | SH/DB | AMTPERSH | FOLIO | SELLER NAME | FOLIO | BUYER NAME |
|---------------|------|-------|----------|----------|----------------------|----------|----------------------|
| 16/04/2020 | 1 | 250 | 000002 | 00012259 | PRATIBHA VINAYAK LEL | CD000001 | CENTRAL DEPOSITORY S |
| 10/07/2020 | 1 | 250 | 000002 | PX004610 | VINAY NAGJIBHAI GOLW | 00013142 | CHETAN VINAY GOLWALA |
| 01/09/2020 | 1 | 2500 | 000002 | PX002053 | CUSTODIAN OF ENEMY P | ND000001 | NATIONAL SECURITY DE |
| 01/09/2020 | 1 | 500 | 000002 | 00013131 | KANTILAL KASHIRAM RA | ND000001 | NATIONAL SECURITY DE |
| 10/09/2020 | 1 | 250 | 000002 | PX009589 | GITA GIRIRAJ MEHTA | ND000001 | NATIONAL SECURITY DE |
| 15/09/2020 | 1 | 4000 | 000002 | PX007333 | SUNANDA GOPAL BHATKA | ND000001 | NATIONAL SECURITY DE |
| 22/10/2020 | 1 | 250 | 000002 | PX003492 | BABULAL CHUNILAL SHA | IEPF2017 | IEPF2017 |
| 22/10/2020 | 1 | 3750 | 000002 | PX000719 | PODAR MILLS LTD.. | IEPF2017 | IEPF2017 |
| 22/10/2020 | 1 | 4000 | 000002 | IEPF2017 | IEPF2017 | ND000001 | NSDL |
| 25/11/2020 | 1 | 3000 | 000002 | 00012178 | TEHMI HOMI KANGA | ND000001 | NATIONAL SECURITY DE |
| 30/11/2020 | 1 | 2500 | 000002 | 00013021 | VISHWANATH VINAYAK K | 00013143 | GAJANAN V KHARE |
| 01/12/2020 | 1 | 250 | 000002 | 00013142 | CHETAN VINAY GOLWALA | ND000001 | NATIONAL SECURITY DE |
| 31/12/2020 | 1 | 2500 | 000002 | 00013143 | GAJANAN VISHWANATH K | CD000001 | CENTRAL DEPOSITORY S |
| 24/02/2021 | 1 | 250 | 000002 | 00012999 | SAKINA SALEH MUKHTIA | 00013144 | FATEMA SALEH MUKHTIA |
| 26/03/2021 | 1 | 250 | 000002 | 00013141 | SARVESHWARI RANIWALA | CD000001 | CENTRAL DEPOSITORY S |

Details of Foreign institutional investors' (FIIs) shareholding as on March 31, 2021

| Sr. No | Name Of FII/FPI | Address | No. of Share | % of share holding |
|--------|---|--|--------------|--------------------|
| 26 | AMERICAN CENTURY ETF TRUST - AVANTIS EMERGING MARKETS EQUITY FUND | DEUTSCHE BANK AG, DB HOUSE, HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI | 640 | 0.00 |
| 27 | AMG TIMESSQUARE EMERGING MARKETS SMALL CAP FUND | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI | 7,100 | 0.00 |
| 28 | BNP PARIBAS TRUST SERVICES SINGAPORE LIMITED AS TRUSTEE OF NIKKO AM SHENTON EMERGING ENTERPRISE DISCOVERY FUND | BNP PARIBAS HOUSE 1 NORTH AVENUE, MAKER MAXITY BANDRA KURLA COMPLEX, BANDRA EAST MUMBAI | 34,811 | 0.02 |
| 29 | DFA INTERNATIONAL VECTOR EQUITY FUND | STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25 M.G. ROAD FORT, MUMBAI | 4,554 | 0.00 |
| 30 | FLORIDA RETIREMENT SYSTEM - AQR CAPITAL MANAGEMENT, LLC | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI | 32,330 | 0.02 |
| 31 | INTERNATIONAL MONETARY FUND - MANAGER A | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | 11,974 | 0.01 |
| 32 | IOOF INVESTMENT MANAGEMENT LIMITED AS RESPONSIBLE ENTITY FOR THE MULTIMIX WHOLESALE INTERNATIONAL SHARES TRUST - TT INTERNATIONAL | BNP PARIBAS HOUSE 1 NORTH AVENUE, MAKER MAXITY BANDRA KURLA COMPLEX, BANDRA EAST MUMBAI | 99,934 | 0.06 |
| 33 | ISHARES MSCI EM SMALL CAP UCITS ETF | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | 26,423 | 0.02 |
| 34 | JAPAN TRUSTEE SERVICES BANK, LTD STB DAIWA PREMIER INDIA EQUITY MOTHER FUND | HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI | 46,351 | 0.03 |
| 35 | KUWAIT INVESTMENT AUTHORITY FUND 223 | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | 24,69,968 | 1.44 |
| 36 | AL MEHWAR COMMERCIAL INVESTMENTS LLC - (WHITING) | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI | 5,81,961 | 0.34 |
| 37 | ALLIANZ GLOBAL INVESTORS GMBH ACTING ON BEHALF OF ALLIANZGI-FONDS DSPT | JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI | 9,083 | 0.01 |
| 38 | AMUNDI FUNDS ASIA EQUITY CONCENTRATED | HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI | 1,56,738 | 0.09 |
| 39 | DIMENSIONAL FUNDS PLC - MULTI-FACTOR EQUITY FUND | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI | 4,951 | 0.00 |
| 40 | EASTSPRING INVESTMENTS INDIA INFRASTRUCTURE EQUITY OPEN LIMITED | DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI | 3,22,020 | 0.19 |
| 41 | EMERGING MARKETS CORE EQUITY FUND OF DIMENSIONAL FUNDS ICVC | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI | 23,829 | 0.01 |
| 42 | GOVERNMENT PENSION FUND GLOBAL | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | 5,15,386 | 0.30 |
| 43 | HSBC INDIAN EQUITY MOTHER FUND | HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI | 7,47,974 | 0.44 |
| 44 | INVESTERINGSFORENINGEN C WORLDWIDE AFDELING ASIEN - AKKUMULERENDE KL | HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI | 1,897 | 0.00 |

| | | | | |
|----|---|--|-----------|------|
| 45 | INVESTERINGSFORENINGEN C WORLDWIDE AFDELING ASIEN KL | HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI | 95,243 | 0.06 |
| 46 | ISHARES EMERGING MARKETS IMI EQUITY INDEX FUND | JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI | 8,123 | 0.00 |
| 47 | ASHBURTON EMERGING MARKETS FUNDS LIMITED | BNP PARIBAS, CUSTODY OPERATIONS BNP PARIBAS HOUSE, 6TH FLOOR 1 NORTH AVENUE MAKER MAXITY BKC, BANDRA (EAST), MUMBAI | 20,350 | 0.01 |
| 48 | CONSULTING GROUP CAPITAL MARKETS FUNDS - EMERGING MARKETS EQUITY FUND | HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI | 71,000 | 0.04 |
| 49 | EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX FUND B | JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI | 21,260 | 0.01 |
| 50 | EXCEL FUNDS MAURITIUS COMPANY LTD | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | 67,030 | 0.04 |
| 51 | GEOSPHERE INDIA FUND | C/O KOTAK MAHINDRA BANK LIMITED KOTAK INFINITI, BLDG.NO.21, 6TH FLR ZONE IV,CUSTODY SERVS,INFINITY PARK GEN. AK VAIDYA MARG, MALAD E, MUMBAI | 30,000 | 0.02 |
| 52 | GOVERNMENT OF SINGAPORE | DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI | 74,38,017 | 4.33 |
| 53 | HSBC GLOBAL INVESTMENT FUNDS - INDIAN EQUITY | HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI | 13,37,680 | 0.78 |
| 54 | INVESTERINGSFORENINGEN DANSKE INVEST | HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI | 4,23,169 | 0.25 |
| 55 | ISHARES CORE MSCI EM IMI UCITS ETF | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | 1,47,255 | 0.09 |
| 56 | MATTHEWS ASIA ESG FUND | HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI | 2,82,293 | 0.16 |
| 57 | MERCER EMERGING MARKETS FUND | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI | 1,329 | 0.00 |
| 58 | PUBLIC EMPLOYEES RETIREMENT ASSOCIATION OF NEW MEXICO | HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI | 2,359 | 0.00 |
| 59 | CAISSE DE DEPOT ET PLACEMENT DU QUEBEC - WHITE OAK CAPITAL PARTNERS PTE LTD | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI | 1,22,500 | 0.07 |
| 60 | CALIFORNIA PUBLIC EMPLOYEES' RETIREMENT SYSTEM | DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI | 1,62,860 | 0.09 |
| 61 | CITIGROUP GLOBAL MARKETS MAURITIUS PRIVATE LIMITED - ODI | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | 1,78,000 | 0.10 |
| 62 | COUPLAND CARDIFF FUNDS PLC - CC INDIAN SUBCONTINENT FUND | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | 68,790 | 0.04 |
| 63 | DIMENSIONAL EMERGING CORE EQUITY MARKET ETF OF DIMENSIONAL ETF TRUST | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | 5,408 | 0.00 |
| 64 | DUPONT PENSION TRUST | DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI | 20,000 | 0.01 |

| | | | | |
|----|---|--|-----------|------|
| 65 | EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX NON-LENDABLE FUND | JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI | 2,85,367 | 0.17 |
| 66 | EMERGING MARKETS SOCIAL CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC. | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | 21,128 | 0.01 |
| 67 | INDIA ACORN FUND LTD | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | 1,91,390 | 0.11 |
| 68 | ING EMERGING MARKETS EQUITY FUND | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI | 1,25,465 | 0.07 |
| 69 | ISHARES EMSC MAURITIUS CO | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | 28,270 | 0.02 |
| 70 | JAPAN TRUSTEE SERVICES BANK, LTD. STB DAIWA INDIA STOCK ACTIVE MOTHER FUND | HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI | 94,707 | 0.06 |
| 71 | ABSL UMBRELLA UCITS FUND PLC - INDIA QUALITY ADVANTAGE FUND | STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25 M.G. ROAD FORT, MUMBAI | 55,589 | 0.03 |
| 72 | AMUNDI FUNDS EMERGING MARKETS EQUITY FOCUS | HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI | 6,94,753 | 0.40 |
| 73 | ASHOKA INDIA OPPORTUNITIES FUND | HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI | 2,31,327 | 0.13 |
| 74 | BAILLIE GIFFORD PACIFIC FUND A SUB FUND OF BAILLIE GIFFORD OVERSEAS GROWTH FUNDS ICVC | DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI | 27,74,024 | 1.61 |
| 75 | BARCLAYS MULTI-MANAGER FUND PLC | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | 5,90,700 | 0.34 |
| 76 | CLSA GLOBAL MARKETS PTE. LTD. | HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI | 12,04,312 | 0.70 |
| 77 | COLLEGE RETIREMENT EQUITIES FUND - STOCK ACCOUNT | DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI | 68,137 | 0.04 |
| 78 | DSP GLOBAL FUNDS ICAV - DSP INDIA EQUITY FUND | HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI | 511 | 0.00 |
| 79 | EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX FUND | JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI | 2,02,881 | 0.12 |
| 80 | ISHARES INDIA SC MAURITIUS COMPANY | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | 1,56,114 | 0.09 |
| 81 | LOCKHEED MARTIN CORPORATION MASTER RETIREMENTTRUST | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | 2,53,821 | 0.15 |
| 82 | MERCURY SERIES UNION MID AND SMALL CAP FUND | HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI | 41,206 | 0.02 |
| 83 | MINE SUPERANNUATION FUND | JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI | 1,05,633 | 0.06 |
| 84 | PARTNERS BAY MASTER FUND | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | 6,27,570 | 0.37 |
| 85 | PUBLIC EMPLOYEES RETIREMENT SYSTEM OF OHIO | JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI | 24,479 | 0.01 |

| | | | | |
|-----|---|--|-----------|------|
| 86 | SCHRODER ASIAN GROWTH FUND | HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI | 19,18,451 | 1.12 |
| 87 | Schroder International Selection Fund Emerging Asia | JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI | 48,79,571 | 2.84 |
| 88 | TAIYO GREATER INDIA FUND LTD | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI | 8,30,000 | 0.48 |
| 89 | TT ASIA-PACIFIC EQUITY FUND | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | 1,54,663 | 0.09 |
| 90 | TT EMERGING MARKETS OPPORTUNITIES FUND II LIMITED | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | 9,09,774 | 0.53 |
| 91 | TT EMERGING MARKETS UNCONSTRAINED FUND | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | 6,54,918 | 0.38 |
| 92 | UPS GROUP TRUST | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI | 73,519 | 0.04 |
| 93 | VANECK FUNDS - EMERGING MARKETS FUND | DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI | 19,08,200 | 1.11 |
| 94 | MONDRIAN EMERGING MARKETS SMALL CAP EQUITY FUND,L.P. | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | 4,87,817 | 0.28 |
| 95 | NH-CA INDIA FORTE EQUITY INVESTMENT TRUST | HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI | 3,447 | 0.00 |
| 96 | NIKKO AM ASIAN SMALL MID EQUITY FUND | HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI | 11,090 | 0.01 |
| 97 | NORTHERN TRUST COLLECTIVE EMERGING MARKETS SMALL CAP INDEX FUND- NON LENDING | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | 88,839 | 0.05 |
| 98 | PUBLIC EMPLOYEES RETIREMENT SYSTEM OF MISSISSIPPI | DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI | 1,717 | 0.00 |
| 99 | RBC EMERGING MARKETS SMALL-CAP EQUITY FUND | STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25 M.G. ROAD FORT, MUMBAI | 9,76,902 | 0.57 |
| 100 | SOCIETE GENERALE | SBI SG GLOBAL SECURITIES SERVICES PL JEEVAN SEVA ANNEXE BUILDING, A WING GR FLOOR, S V ROAD SANTACRUZ WEST, MUMBAI | 33,250 | 0.02 |
| 101 | SPDR S AND P EMERGING MARKETS SMALL CAP ETF | DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI | 57,947 | 0.03 |
| 102 | SSGA SPDR ETFs EUROPE I PUBLIC LIMITED COMPANY - SPDR MSCI EMERGING MARKETS SMALL CAP UCITS ETF | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI | 13,955 | 0.01 |
| 103 | STATE STREET MSCI EMERGING MARKETS SMALL CAP INDEX NON-LENDING COMMON TRUST FUND | DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI | 45,061 | 0.03 |
| 104 | JOHN HANCOCK FUNDS II EMERGING MARKETS FUND | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | 3,443 | 0.00 |
| 105 | MATTHEWS ASIA SMALL COMPANIES FUND | HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI | 6,97,281 | 0.41 |
| 106 | NOMURA SINGAPORE LIMITED ODI | HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI | 3,10,731 | 0.18 |

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| 107 | OREGON PUBLIC EMPLOYEES RETIREMENT SYSTEM MANAGED THROUGH DIMENSIONAL FUND ADVISORS LP | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI | 1,286 | 0.00 |
| 108 | PACIFIC HORIZON INVESTMENT TRUST PLC | DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI | 6,19,900 | 0.36 |
| 109 | PRUSIK ASIA EMERGING OPPORTUNITIES FUND PLC | HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI | 58,000 | 0.03 |
| 110 | PUB EQUITIES EMERGING MARKETS 2 | JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI | 25,767 | 0.02 |
| 111 | SHELL PENSIONS TRUST LIMITED AS TRUSTEE OF THE SHELL CONTRIBUTORY PENSION FUND | JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI | 19,725 | 0.01 |
| 112 | THE MASTER TRUST BANK OF JAPAN, LTD. AS TRUSTEE OF HSBC INDIA INFRASTRUCTURE EQUITY MOTHER FUND | HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI | 68,503 | 0.04 |
| 113 | VANGUARD ESG INTERNATIONAL STOCK ETF | JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI | 6,585 | 0.00 |
| 114 | VANGUARD FTSE ALL-WORLD EX-US SMALL-CAP INDEXFUND | DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI | 3,19,100 | 0.19 |
| 115 | VANGUARD INVESTMENTS FUNDS ICVC-VANGUARD FTSE GLOBAL ALL CAP INDEX FUND | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI | 268 | 0.00 |
| 116 | MATTHEWS ASIA FUNDS ASIA SMALL COMPANIES FUND | HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI | 1,23,190 | 0.07 |
| 117 | MEGA INTERNATIONAL COMMERCIAL BANK CO. LTD. IN ITS CAPACITY AS MASTER CUSTODIAN OF TAISHIN INDIA FUND | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI | 35,000 | 0.02 |
| 118 | MERCER QIF FUND PLC- MERCER INVESTMENT FUND 1 | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI | 11,630 | 0.01 |
| 119 | NIKKO ASSET MANAGEMENT (MAURITIUS) LTD | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | 28,000 | 0.02 |
| 120 | NORDEA 1 SICAV - EMERGING MARKETS SMALL CAP FUND | JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI | 1,29,067 | 0.08 |
| 121 | OPTIMIX WHOLESALE GLOBAL EMERGING MARKETS SHARE TRUST | JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI | 66,030 | 0.04 |
| 122 | RBC FUNDS (LUX) - EMERGING MARKETS MULTI-STRATEGY EQUITY FUND | STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25 M.G. ROAD FORT, MUMBAI | 21,908 | 0.01 |
| 123 | SUNSUPER SUPERANNUATION FUND | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI | 2,65,278 | 0.15 |
| 124 | TT HORIZON OPPORTUNITIES FUND LIMITED | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | 2,51,984 | 0.15 |
| 125 | VANGUARD GLOBAL EX-U.S. REAL ESTATE INDEX FUND | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI | 4,67,866 | 0.27 |
| 126 | VT HALO GLOBAL ASIAN CONSUMER FUND | STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25 M.G. ROAD FORT, MUMBAI | 1,27,000 | 0.07 |
| 127 | WISDOMTREE INDIA INVESTMENT PORTFOLIO, INC. | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | 29,420 | 0.02 |

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| 128 | SCHRODER INTERNATIONAL SELECTION FUND ASIAN SMALLER COMPANIES | JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI | 4,91,968 | 0.29 |
| 129 | STATE STREET GLOBAL SMALL CAP EQUITY EX-U.S. INDEX NON-LENDING SERIES FUND | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI | 1,13,463 | 0.07 |
| 130 | STATE STREET MSCI EMERGING MARKETS SMALL CAP INDEX SECURITIES LENDING FUND | DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI | 26,595 | 0.02 |
| 131 | THE REGENTS OF THE UNIVERSITY OF CALIFORNIA - STATE STREET GLOBAL ADVISORS | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI | 66,164 | 0.04 |
| 132 | TKP INVESTMENTS BV - AEGON CUSTODY B.V. RE MM EQUITY SMALL CAP FUND | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | 4,20,124 | 0.24 |
| 133 | TT EMERGING MARKETS EQUITY FUND | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | 7,86,446 | 0.46 |
| 134 | UNISUPER LIMITED AS TRUSTEE FOR UNISUPER | BNP PARIBAS HOUSE 1 NORTH AVENUE, MAKER MAXITY BANDRA KURLA COMPLEX, BANDRA EAST MUMBAI | 12,48,122 | 0.73 |
| 135 | UTAH STATE RETIREMENT SYSTEMS | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | 4,492 | 0.00 |
| 136 | VANECK EMERGING MARKETS PORTFOLIO | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI | 80,600 | 0.05 |
| 137 | VANGUARD EMERGING MARKETS STOCK INDEX FUND, A SERIES OF VANGUARD INTERNATIONAL EQUITY INDEX FUNDS | JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI | 9,04,871 | 0.53 |
| 138 | VANGUARD TOTAL INTERNATIONAL STOCK INDEX FUND | JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI | 10,76,320 | 0.63 |
| 139 | LEGAL AND GENERAL ASSURANCE (PENSION MANAGEMENT) LIMITED | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | 2,379 | 0.00 |
| 140 | NORTHERN TRUST COMMON ALL COUNTRY WORLD EX-US INVESTABLE MARKET INDEX FUND-NON LENDING | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | 1,483 | 0.00 |
| 141 | SBM INDIA FUND | ISSL - Custodial Services Div. Plot.No.14 , Raheja Vihar. Chandivili, Andheri (E) | 10,060 | 0.01 |
| 142 | STATE STREET MSCI ACWI EX USA IMI SCREENED NON-LENDING COMMON TRUST FUND | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI | 735 | 0.00 |
| 143 | SUN LIFE EXCEL INDIA FUND | STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25 M.G. ROAD FORT, MUMBAI | 1,39,881 | 0.08 |
| 144 | T.A. WORLD EX U.S. CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC. | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | 14,048 | 0.01 |
| 145 | VANGUARD FIDUCIARY TRUST COMPANY INSTITUTIONAL TOTAL INTERNATIONAL STOCK MARKET INDEX TRUST | JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI | 54,090 | 0.03 |
| 146 | VANGUARD TOTAL WORLD STOCK INDEX FUND | DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI | 24,155 | 0.01 |
| 147 | WORLD EX U.S. CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC. | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | 13,613 | 0.01 |
| 148 | NORTHERN GLOBAL REAL ESTATE INDEX FUND | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | 64,424 | 0.04 |

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| 149 | ONTARIO PENSION BOARD - MONDRIAN INVESTMENT PARTNERS LIMITED | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI | 9,63,548 | 0.56 |
| 150 | POLAR CAPITAL EMERGING MARKET STARS FUND | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | 23,627 | 0.01 |
| 151 | QIC INTERNATIONAL EQUITIES FUND | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | 1,285 | 0.00 |
| 152 | RISING INDIA FOCUS FUND LIMITED | STANDARD CHARTERED BANK Securities Services, 3rd Floor 23-25, MAHATMA GANDHI ROAD FORT, MUMBAI | 79,000 | 0.05 |
| 153 | SCHRODER SMALL CAP DISCOVERY FUND | JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI | 4,30,352 | 0.25 |
| 154 | SPDR S AND P EMERGING MARKETS ETF | DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI | 31,211 | 0.02 |
| 155 | STICHTING SHELL PENSIOENFONDS | JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI | 37,522 | 0.02 |
| 156 | TELSTRA SUPER PTY LTD AS TRUSTEE FOR TELSTRA SUPERANNUATION SCHEME | JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI | 2,45,411 | 0.14 |
| 157 | THE SULTANATE OF OMAN MINISTRY OF DEFENCE PENSION FUND | HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI | 40,724 | 0.02 |
| 158 | TOKIO MARINE LIFE INSURANCE SINGAPORE LTD. - PAR SCHRODERS | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | 3,70,009 | 0.22 |
| 159 | VANECK ICAV - VANECK - EMERGING MARKETS EQUITY UCITS | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI | 52,100 | 0.03 |
| 160 | VANGUARD INVESTMENT SERIES PLC - VANGUARD ESG EMERGING MARKETS ALL CAP EQUITY INDEX FUND | HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI | 897 | 0.00 |
| 161 | VITTORIA FUND - PB, L.P. | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | 3,60,142 | 0.21 |
| 162 | WASHINGTON STATE INVESTMENT BOARD MANAGED BY TT INTERNATIONAL | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI | 5,52,757 | 0.32 |
| 163 | VANGUARD FIDUCIARY TRUST COMPANY INSTITUTIONAL TOTAL INTERNATIONAL STOCK MARKET INDEX TRUST II | JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI | 2,89,633 | 0.17 |
| 164 | WORLD EX U.S. TARGETED VALUE PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC. | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | 13,248 | 0.01 |
| 165 | TT EMERGING MARKETS OPPORTUNITIES FUND LIMITED | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | 98,590 | 0.06 |
| 166 | UMBRELLA FUND PK BLVK - PK BLVK EMMA | HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI | 38,889 | 0.02 |
| 167 | VAN ECK WORLDWIDE INSURANCE TRUST - WORLDWIDEEMERGING MARKETS FUND. | DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI | 1,20,800 | 0.07 |
| 168 | VFM EMERGING MARKETS TRUST | DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI | 3,09,899 | 0.18 |
| 169 | ABSL UMBRELLA UCITS FUND PLC - INDIA FRONTLINE EQUITY FUND | STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25 M.G. ROAD FORT, MUMBAI | 2,78,112 | 0.16 |

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| 170 | AIA SINGAPORE PRIVATE LIMITED - AIA ACORNS OF ASIA FUND EQ | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | 9,14,331 | 0.53 |
| 171 | ASHOKA INDIA EQUITY INVESTMENT TRUST PLC | KOTAK MAHINDRA BANK LIMITED KOTAK INFINITI BLDG N. 21 2ND FLR ZONE I CUSTODY SERVS INFINITY PARK GEN A.K.VAIDYA MARG MALAD E MUMBAI | 59,000 | 0.03 |
| 172 | BVK PERSONALVORSORGE DES KANTONS ZURICH | JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI | 28,882 | 0.02 |
| 173 | DFA INTERNATIONAL CORE EQUITY FUND | STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25 M.G. ROAD FORT, MUMBAI | 8,780 | 0.01 |
| 174 | EMERGING MARKETS TARGETED VALUE PORTFOLIO | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | 13,801 | 0.01 |
| 175 | FIDELITY SALEM STREET TRUST FIDELITY TOTAL INTERNATIONAL INDEX FUND | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | 27,549 | 0.02 |
| 176 | FLEXSHARES MORNINGSTAR EMERGING MARKETS FACTOR TILT INDEX FUND | JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI | 1,316 | 0.00 |
| 177 | INDIA ADVANTAGE FUND LIMITED | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | 42,812 | 0.02 |
| 178 | KOTAK MAHINDRA (INTERNATIONAL) LIMITED | C/O KOTAK MAHINDRA BANK LIMITED KOTAK INFINITI, BLDG.NO.21, 6TH FLR ZONE IV,CUSTODY SERVS,INFINITY PARK GEN. AK VAIDYA MARG, MALAD E, MUMBAI | 15,000 | 0.01 |
| 179 | LGT SELECT FUNDS - LGT SELECT EQUITY EMERGING MARKETS | STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25 M.G. ROAD FORT, MUMBAI | 3,86,923 | 0.23 |
| 180 | PFA KAPITALFORENING AFDELING 24 - PFA EMERGING MARKETS AKTIER | JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI | 4,10,880 | 0.24 |
| 181 | POLAR CAPITAL FUNDS PLC - ASIAN STARS FUND | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | 93,480 | 0.05 |
| 182 | POLAR CAPITAL FUNDS PLC - EMERGING MARKET STARS FUND | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | 11,46,888 | 0.67 |
| 183 | PUB EQUITIES EMERGING MARKETS 1 | JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI | 28,761 | 0.02 |
| 184 | RBC EMERGING MARKETS SMALL CAP EQUITY FUND | HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI | 19,292 | 0.01 |
| 185 | SCHRODER ASIAN ALPHA PLUS FUND | JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI | 17,31,844 | 1.01 |
| 186 | STATE STREET GLOBAL ALL CAP EQUITY EX-US INDEX PORTFOLIO | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI | 8,044 | 0.00 |
| 187 | THE EMERGING MARKETS SMALL CAP SERIES OF THE DFA INVESTMENT TRUST COMPANY | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | 3,42,753 | 0.20 |