

Shareholding Pattern under Regulation 31(1)(b) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

- 1 Name of Listed Entity: The Phoenix Mills Limited
- 2 Scrip Code/Name of Scrip/Class of Security: 503100/PHOENIXLTD /EQUITY
- 3 Share Holding Pattern Filed under Reg. 31(1)(b) for the Quarter ended March 31, 2019
- 4 Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3 Whether the Listed Entity has any shares against which depository receipts are issued?		No
4 Whether the Listed Entity has any shares in locked-in?		No
5 Whether any shares held by promoters are pledge or otherwise encumbered?		No
6 Whether the Company has equity shares with differential voting rights		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.



The Phoenix Mills Limited

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Number of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg: X	Class eg: Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
(A)	Promoter & Promoter Group	12	96210882	0	0	96210882	62.76	96210882	0	96210882	62.76	0	62.76	0	0.00	0	0.00	96210882
(B)	Public	15363	57078919	0	0	57078919	37.24	57078919	0	57078919	37.24	0	37.24	0	0.00	NA	NA	56672648
(C)	Non Promoter - Non Public				0				0			0		0.00	NA	NA		
(C1)	Shares Underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	Total	15375	153289801	0	0	153289801	100.00	153289801	0	153289801	100.00	0	100.00	0	0.00	0	0.00	152883530



The Phoenix Mills Limited

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity	No. of shares underlying Depository	Total nos. shares held	Shareholding % calculated as per SCRR, (VII) As a % of (A+B+CZ)	Number of Voting Rights held in each class of			No. of Shares Underlying Outstanding	Shareholding, as a % assuming full conversion	Number of Shares held (a)	Number of Shares held (b)	Number of Shares held (a)	Number of Shares held (b)	Number of equity shares held in dematerialised form
								No of Voting Rights	Class eg: X	Class eg: Y							
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+CZ)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)	(XIII)	(XIV)		
1 Indian																	
(a) Individuals / Hindu Undivided Family		7	10001920	0	0	10001920	6.52	10001920	0	10001920	6.52	0	6.52	0	0.00	0	10001920
Ashokkumar Radhakrishna Ruia	AAJPR8275M	1	2128504	0	0	2128504	1.39	2128504	0	2128504	1.39	0	1.39	0	0.00	0	2128504
Atul Ashokkumar Ruia	ABHPR8582Q	1	2403501	0	0	2403501	1.57	2403501	0	2403501	1.57	0	1.57	0	0.00	0	2403501
Amia Ashokkumar Ruia	ABVPR7369D	1	2125000	0	0	2125000	1.39	2125000	0	2125000	1.39	0	1.39	0	0.00	0	2125000
Gayatri Atul Ruia	ADQPR3700F	1	1534890	0	0	1534890	1.00	1534890	0	1534890	1.00	0	1.00	0	0.00	0	1534890
Ashokkumar Radhakrishna Ruia (Holding as Trustee on behalf of Atul Ruia Family Trust)	AAGTA3666E	1	765545	0	0	765545	0.50	765545	0	765545	0.50	0	0.50	0	0.00	0	765545
Atul Ashokkumar Ruia (Holding as Trustee on behalf of Ashok Ruia Family Trust)	AAGTA3667F	1	765545	0	0	765545	0.50	765545	0	765545	0.50	0	0.50	0	0.00	0	765545
Sharanya A.Ruia Beneficiary Trust	AADTS0295G	1	278935	0	0	278935	0.18	278935	0	278935	0.18	0	0.18	0	0.00	0	278935
(b) Central Government / State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0
(c) Financial Institutions / Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0
(d) Any Other (Specify)		5	86208962	0	0	86208962	56.24	86208962	0	86208962	56.24	0	56.24	0	0.00	0	86208962
Bodies Corporate		5	86208962	0	0	86208962	56.24	86208962	0	86208962	56.24	0	56.24	0	0.00	0	86208962
Ruia International Holding Company Private Limited	AACCB9771C	1	49347248	0	0	49347248	32.19	49347248	0	49347248	32.19	0	32.19	0	0.00	0	49347248
Senior Advisory Services Pvt. Ltd (Formerly known as Senior Holding Pvt. Ltd.)	AAECS7488P	1	15490049	0	0	15490049	10.11	15490049	0	15490049	10.11	0	10.11	0	0.00	0	15490049
Radhakrishna Ramnarain Pvt. Ltd.	AAACR3297H	1	11667800	0	0	11667800	7.61	11667800	0	11667800	7.61	0	7.61	0	0.00	0	11667800
Ashok Apparels Pvt. Ltd.	AABCA1436E	1	9670665	0	0	9670665	6.31	9670665	0	9670665	6.31	0	6.31	0	0.00	0	9670665
Ashton Real Estate Development Pvt. Ltd.	AAFCA7183A	1	33200	0	0	33200	0.02	33200	0	33200	0.02	0	0.02	0	0.00	0	33200
Sub Total (A)[1]		12	96210882	0	0	96210882	62.76	96210882	0	96210882	62.76	0	62.76	0	0.00	0	96210882
2 Foreign																	
Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0
(a) Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0
(c) Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0
(d) Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0
(e) Any Other (Specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0
Sub Total (A)[2]		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0
Total Shareholding Of Promoter And Promoter Group (A)= (A)[1]+(A)[2]		12	96210882	0	0	96210882	62.76	96210882	0	96210882	62.76	0	62.76	0	0.00	0	96210882

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc. - NIL.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.



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Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares	Number of Shares pledged or otherwise	Number of equity shares held in dematerialised form			
								No of Voting Rights										
								Class eg: X	Class eg: Y	Total								
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	(ix)			(x)	(xi) = (vii)+(x)	(xii)	(xiii)	(xiv)			
1 Institutions																		
(a) Mutual Fund																		
Reliance Capital Trustee Co Ltd-A/C Reliance Value Fund	AAATR0090B	7	7581605	0	0	7581605	4.95	7581605	0	7581605	4.95	0	4.95	0	0.00	NA	NA	7581605
Dsp Midcap Fund	AAAID0430B	1	3075528	0	0	3075528	2.01	3075528	0	3075528	2.01	0	2.01	0	0.00	NA	NA	3075528
Uci - Hybrid Equity Fund	AAATU1088L	1	2459749	0	0	2459749	1.60	2459749	0	2459749	1.60	0	1.60	0	0.00	NA	NA	2459749
(b) Venture Capital Funds																		
Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	1935389
(c) Foreign Venture Capital Investors																		
Foreign Portfolio Investor		3	1144331	0	0	1144331	0.75	1144331	0	1144331	0.75	0	0.75	0	0.00	NA	NA	0
(d) Foreign Portfolio Investor																		
Nordea 1 Sicav - Emerging Stars Equity Fund	AACN4419K	149	40379757	0	0	40379757	26.34	40379757	0	40379757	26.34	0	26.34	0	0.00	NA	NA	0
Fidelity Investment Trust Fidelity Serles Emerging Markets Fund	AAATF2631A	1	6657298	0	0	6657298	4.34	6657298	0	6657298	4.34	0	4.34	0	0.00	NA	NA	6657298
Schroder International Selection Fund Emerging Asia	AAIC54854K	1	3340838	0	0	3340838	2.18	3340838	0	3340838	2.18	0	2.18	0	0.00	NA	NA	3340838
Vaneck Funds - Emerging Markets Fund	AAATV5835E	1	2278979	0	0	2278979	1.49	2278979	0	2278979	1.49	0	1.49	0	0.00	NA	NA	2278979
(f) Financial Institutions / Banks																		
Insurance Companies		3	13505	0	0	13505	0.01	13505	0	13505	0.01	0	0.01	0	0.00	NA	NA	13505
(g) Provident Funds / Pension Funds																		
Any Other (Specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
Sub Total (B)(1)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
2 Central Government / State Government(s) / President of India																		
Central Government / State Government(s)		162	49119198	0	0	49119198	32.04	49119198	0	49119198	32.04	0	32.04	0	0.00	NA	NA	49119198
Sub Total (B)(2)		1	6953	0.00	0	6953	0.00	6953	0	6953	0.00	0	0.00	0	0.00	NA	NA	6953
3 Non-Institutions																		
Sub Total (B)(2)		1	6953	0.00	0	6953	0.00	6953	0	6953	0.00	0	0.00	0	0.00	NA	NA	6953
(a) Individuals																		
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		13932	4119247	0	0	4119247	2.69	4119247	0	4119247	2.69	0	2.69	0	0.00	NA	NA	3717726
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		1	176750	0	0	176750	0.12	176750	0	176750	0.12	0	0.12	0	0.00	NA	NA	176750
(b) NBFCs registered with RBI																		
Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(c) Overseas Depositories(holding DRs) (balancing figure)																		
Any Other (Specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(d) Investor Education and Protection Fund																		
Trusts		1	805587	0	0	805587	0.53	805587	0	805587	0.53	0	0.53	0	0.00	NA	NA	805587
Hindu Undivided Family		4	12604	0	0	12604	0.01	12604	0	12604	0.01	0	0.01	0	0.00	NA	NA	12604
Foreign Companies		269	71988	0	0	71988	0.05	71988	0	71988	0.05	0	0.05	0	0.00	NA	NA	71988
Non Resident Indians (Non Repat)		195	50000	0	0	50000	0.03	50000	0	50000	0.03	0	0.03	0	0.00	NA	NA	50000
Directors & Relatives		3	88700	0	0	88700	0.06	88700	0	88700	0.06	0	0.06	0	0.00	NA	NA	88700
Non Resident Indians (Repat)		456	142986	0	0	142986	0.09	142986	0	142986	0.09	0	0.09	0	0.00	NA	NA	142986
Clearing Member		60	34241	0	0	34241	0.02	34241	0	34241	0.02	0	0.02	0	0.00	NA	NA	34241
Bodies Corporate		278	2298397	0	0	2298397	1.50	2298397	0	2298397	1.50	0	1.50	0	0.00	NA	NA	2298397
Sub Total (B)(3)		15200	7952768	0	0	7952768	5.19	7952768	0	7952768	5.19	0	5.19	0	0.00	NA	NA	2298397
Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		15200	7952768	0	0	7952768	5.19	7952768	0	7952768	5.19	0	5.19	0	0.00	NA	NA	2298397
Details of the shareholders acting as persons in Concert including their Shareholding (No. and %): Nil																		
*Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																		
No. of shareholders		470																
Outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.			678200															

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons/institutions/Non institutions holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.



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Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or		Number of equity shares held in dematerialised form	
								No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held(b)		
								Class eg: X	Class eg: Y									Total
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1 Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available

