

Shareholding Pattern under Regulation 31(1)(b) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

- 1 Name of Listed Entity: The Phoenix Mills Limited
- 2 Scrip Code/Name of Scrip/Class of Security: 503100/PHOENIXLTD /EQUITY
- 3 Share Holding Pattern Filed under Reg. 31(1)(b) for the Quarter ended December 31, 2018
- 4 Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3 Whether the Listed Entity has any shares against which depository receipts are issued?		No
4 Whether the Listed Entity has any shares in locked-in?		No
5 Whether any shares held by promoters are pledge or otherwise encumbered?		No
6 Whether the Company has equity shares with differential voting rights		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.



The Phoenix Mills Limited

Table I - Summary Statement holding of specified securities

Category of equity shareholder	Number of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculate as per SCRR, 1957)	Number of Voting Rights held in each class of securities		Shareholding, as assuming full conversion of convertible securities (as a percentage of diluted share (Incl. ordinary Warrants)	No. of Shares Locked in shares	Number of Shares pledged or otherwise	Number of equity shares held in dematerialised form
							No of Voting Rights	% of (A+B+C)				
(I)	(II)	(III)	(IV)	(V)	(VI) = (IV)+(V)+(VI)	(VII)As a % of (A+B+C2)	(IX)	(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)	(XIII)	(XIV)
Promoter & Promoter												
(A) Group	12	96210882	0	0	96210882	62.77	0	96210882	62.77	0	0	96210882
(B) Public	14389	57060969	0	0	57060969	37.23	0	57060969	37.23	0	0	56639198
(C) Non Promoter - Non Public				0	0			0		0.00	NA	
(C1) Shares Underlying DRs	0	0	0	0	0	0.00	0	0	0.00	0	NA	0
(C2) Shares Held By Employee Trust	0	0	0	0	0	0.00	0	0	0.00	0	NA	0
Total	14401	153271851	0	0	153271851	100.00	0	153271851	100.00	0	0	152850080



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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares	No. of shares underlying Depository	Total nos. shares held	Shareholding % calculated as per SCRR, (VIII) As a % of (A+B+C)	Number of Voting Rights held in each class of Shares		No. of Shares Outstanding	Shareholding, as a % assuming full conversion (X) = (VII)+(X) As a % of (A+B+C)	Number of Shares held(b)	Number of Shares held(b)	Number of equity shares held in dematerialised form
							Class eg: X	Class eg: Y					
(i)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(ix)	(x)	(xi)	(xii)	(xiii)	(xiv)	(xv)	
1. Indian													
(a) Individuals / Hindu Undivided Family	7	10001920	0	0	10001920	6.53	10001920	0	6.53	0	0.00	0	10001920
Ashokkumar Radhakrishna Ruia	1	2128504	0	0	2128504	1.39	2128504	0	1.39	0	0.00	0	2128504
Atul Ashokkumar Ruia	1	2403501	0	0	2403501	1.57	2403501	0	1.57	0	0.00	0	2403501
Arnia Ashokkumar Ruia	1	2125000	0	0	2125000	1.39	2125000	0	1.39	0	0.00	0	2125000
Gayatri Atul Ruia	1	1534890	0	0	1534890	1.00	1534890	0	1.00	0	0.00	0	1534890
Ashokkumar Radhakrishna Ruia (Holding as Trustee on behalf of Atul Ruia Family Trust)	1	765545	0	0	765545	0.50	765545	0	0.50	0	0.00	0	765545
Atul Ashokkumar Ruia (Holding as Trustee on behalf of Ashok Ruia Family Trust)	1	765545	0	0	765545	0.50	765545	0	0.50	0	0.00	0	765545
Sharanya A. Ruia Beneficiary Trust	1	278935	0	0	278935	0.18	278935	0	0.18	0	0.00	0	278935
(b) Central Government / State Government(s)	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0
(c) Financial Institutions / Banks	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0
(d) Any Other (Specify)	5	86208962	0	0	86208962	56.25	86208962	0	56.25	0	0.00	0	86208962
Bodies Corporate	5	86208962	0	0	86208962	56.25	86208962	0	56.25	0	0.00	0	86208962
Ruia International Holding Company Private Limited	1	49347248	0	0	49347248	32.20	49347248	0	32.20	0	0.00	0	49347248
Senior Advisory Services Pvt. Ltd (Formerly known as Senior Holding Pvt. Ltd.)	1	15490049	0	0	15490049	10.11	15490049	0	10.11	0	0.00	0	15490049
Radhakrishna Ramnarain Pvt. Ltd.	1	11667800	0	0	11667800	7.61	11667800	0	7.61	0	0.00	0	11667800
Ashok Apparels Pvt. Ltd.	1	9670665	0	0	9670665	6.31	9670665	0	6.31	0	0.00	0	9670665
Ashton Real Estate Development Pvt. Ltd.	1	33200	0	0	33200	0.02	33200	0	0.02	0	0.00	0	33200
Sub Total (A)(1)	12	96210882	0	0	96210882	62.77	96210882	0	62.77	0	0.00	0	96210882
2. Foreign													
(a) Foreign Individuals / Non-Resident Individuals / Individuals	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0
(b) Government	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0
(c) Institutions	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0
(d) Foreign Portfolio Investor	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0
(e) Any Other (Specify)	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0
Sub Total (A)(2)	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0
Total Shareholding Of Promoter And Promoter Group (A) = (A)(1)+(A)(2)	12	96210882	0	0	96210882	62.77	96210882	0	62.77	0	0.00	0	96210882

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, unclaimed shares held in demat/unclaimed suspense account, voting rights which are frozen etc. : Nil

Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(B) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.



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Table III - Statements showing shareholding pattern of the Public shareholder

Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities		No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding % as a % of (A+B+C2)	[X] As a % of (A+B+C2)	[XII] Locked in shares	Number of shares pledged or otherwise	Number of equity shares held in dematerialised form
							No. of Voting Rights	Class Total eg: y						
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) As a % of (A+B+C2)	(viii) As a % of (A+B+C2)	(ix) As a % of (A+B+C2)	(x) As a % of (A+B+C2)	(xi) As a % of (A+B+C2)	(xii) As a % of (A+B+C2)	(xiii) As a % of (A+B+C2)	(xiv) As a % of (A+B+C2)	
1 Institutions														
(a) Mutual Fund	5	5500488	0	0	5500488	3.59	3.59	0	0.00	0.00	0.00	NA	NA	5500488
Reliance Capital Trustee Co Ltd A/C Reliance Value Fund	1	3146623	0	0	3146623	2.05	2.05	0	0.00	0.00	0.00	NA	NA	3146623
(b) Venture Capital Funds	0	0	0	0	0	0.00	0.00	0	0.00	0.00	0.00	NA	NA	0
(c) Alternate Investment Funds	3	1138981	0	0	1138981	0.74	0.74	0	0.00	0.00	0.00	NA	NA	1138981
(d) Foreign Venture Capital Investors	0	0	0	0	0	0.00	0.00	0	0.00	0.00	0.00	NA	NA	0
(e) Foreign Portfolio Investor	157	42803467	0	0	42803467	27.93	27.93	0	0.00	0.00	0.00	NA	NA	42803467
(f) Non-Resident Indians (NRI)	1	7387329	0	0	7387329	4.82	4.82	0	0.00	0.00	0.00	NA	NA	7387329
(g) Fidelity Investment Trust Fidelity Series Emerging Markets Fund	1	3375238	0	0	3375238	2.20	2.20	0	0.00	0.00	0.00	NA	NA	3375238
(h) Schroder International Selection Fund Emerging Asia	1	2278979	0	0	2278979	1.49	1.49	0	0.00	0.00	0.00	NA	NA	2278979
(i) The Palraj Investment Fund Pvt. LP	1	1973200	0	0	1973200	1.29	1.29	0	0.00	0.00	0.00	NA	NA	1973200
(j) Financial Institutions / Banks	3	1575561	0	0	1575561	1.03	1.03	0	0.00	0.00	0.00	NA	NA	1575561
(k) Insurance Companies	0	12214	0	0	12214	0.01	0.01	0	0.00	0.00	0.00	NA	NA	12214
(l) Provident Funds/ Pension Funds	0	0	0	0	0	0.00	0.00	0	0.00	0.00	0.00	NA	NA	0
(m) Any Other (Specify)	0	0	0	0	0	0.00	0.00	0	0.00	0.00	0.00	NA	NA	0
(n) Sub Total (B)(1)	168	49455150	0	0	49455150	32.27	32.27	0	0.00	0.00	0.00	NA	NA	49455150
2 Central Government/ State Government(s)/ President of India														
(a) Central Government / State Government(s)	0	0	0	0	0	0.00	0.00	0	0.00	0.00	0.00	NA	NA	0
(b) Sub Total (B)(2)	0	0	0	0	0	0.00	0.00	0	0.00	0.00	0.00	NA	NA	0
3 Non-Institutions														
(a) Individuals	13204	3832295	0	0	3832295	2.50	2.50	0	0.00	0.00	0.00	NA	NA	3832295
(b) NBFCs registered with RBI	1	176750	0	0	176750	0.12	0.12	0	0.00	0.00	0.00	NA	NA	176750
(c) Employee Trusts	0	0	0	0	0	0.00	0.00	0	0.00	0.00	0.00	NA	NA	0
(d) Overseas Depositories/holding DPs (balancing figure)	0	0	0	0	0	0.00	0.00	0	0.00	0.00	0.00	NA	NA	0
(e) Any Other (Specify)	1016	3596774	0	0	3596774	2.35	2.35	0	0.00	0.00	0.00	NA	NA	3596774
Investor Education and Protection Fund	1	805587	0	0	805587	0.53	0.53	0	0.00	0.00	0.00	NA	NA	805587
(f) Trusts	3	221359	0	0	221359	0.01	0.01	0	0.00	0.00	0.00	NA	NA	221359
Hindu Undivided Family	257	73527	0	0	73527	0.05	0.05	0	0.00	0.00	0.00	NA	NA	73527
(g) Foreign Companies	1	50000	0	0	50000	0.03	0.03	0	0.00	0.00	0.00	NA	NA	50000
(h) Non Resident Indians (Non-Repatriation)	148	122506	0	0	122506	0.08	0.08	0	0.00	0.00	0.00	NA	NA	122506
(i) Directors & Relatives	3	88700	0	0	88700	0.06	0.06	0	0.00	0.00	0.00	NA	NA	88700
(j) Non Resident Indians (Repatriation)	294	90134	0	0	90134	0.06	0.06	0	0.00	0.00	0.00	NA	NA	90134
(k) Chartered Member	67	27819	0	0	27819	0.02	0.02	0	0.00	0.00	0.00	NA	NA	27819
(l) Bechler Corporate	242	2315742	0	0	2315742	1.51	1.51	0	0.00	0.00	0.00	NA	NA	2315742
(m) Sub Total (B)(3)	14221	7605819	0	0	7605819	4.96	4.96	0	0.00	0.00	0.00	NA	NA	7605819
(n) Total Public Shareholding (B) (B)(1)+(B)(2)+(B)(3)	14389	57060959	0	0	57060959	37.23	37.23	0	0.00	0.00	0.00	NA	NA	57060959
Details of the shareholders acting as persons in Concert including their shareholding (No. and %): Nil														
*Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demer/ unclaimed suspense account, voting rights which are frozen etc.														
Outstanding shares held in demer/ unclaimed suspense account, voting rights which are frozen etc.														
899950														

Note: (1) PAN would not be displayed on website of Stock Exchange(s)
 (2) The above format needs to be disclosed along with the name of following person/institution/Non institutions holding more than 1% of total number of shares
 (3) Where the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.



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Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			Shareholding, as a % assuming full conversion of convertible securities (including securities [X]= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or		Number of equity shares held in dematerialised form	
								No of Voting Rights	Total as a % of (A+B+C)	No of Outstanding convertible securities (including securities		No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
																	Classes eg: (IX)
1. Custodian/DR Holder	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	0	0	0	0	0	0	0	0	0	(XIV)
Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)								0	0	0	0	0	0	0	0	0	
Total Non-Promoter- Non Public Shareholding (C)= (C1)+(C)2			0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	0

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available

