

Shareholding Pattern under Regulation 31(1)(b) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

- 1 Name of Listed Entity: The Phoenix Mills Limited**
- 2 Scrip Code/Name of Scrip/Class of Security: 503100/PHOENIXLTD /EQUITY**
- 3 Share Holding Pattern Filed under Reg. 31(1)(b) for the Quarter ended September 30, 2019**
- 4 Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-**

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No
6	Whether the Company has equity shares with differential voting rights		No
7	Whether the Company has any Significant Beneficial Owner	Yes	

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

The Phoenix Mills Limited

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Number of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Outstandingly convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg: X	Class eg: Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
(A)	Promoter & Promoter Group	8	90714487	0	0	90714487	59.16	90714487	0	90714487	59.16	0	59.16	0	0.00	0	0.00	90714487
(B)	Public	11714	62634203	0	0	62634203	40.84	62634203	0	62634203	40.84	0	40.84	0	0.00	NA	NA	62319932
(C)	Non Promoter - Non Public	0			0				0			0		0.00	NA	NA		
(C1)	Shares Underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	Total	11722	153348690	0	0	153348690	100.00	153348690	0	153348690	100.00	0	100.00	0	0.00	0	0.00	153034419

The Phoenix Mills Limited

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)		
								Class eg: X	Class eg: Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)	(XIII)	(XIV)			
1 Indian																		
(a) Individuals / Hindu Undivided Family		3	4505525	0	0	4505525	2.94	4505525	0	4505525	2.94	0	2.94	0	0.00	0	0.00	4505525
Atul Ashokkumar Ruia	ABHPR8582Q	1	3957737	0	0	3957737	2.58	3957737	0	3957737	2.58	0	2.58	0	0.00	0	0.00	3957737
Amla Ashokkumar Ruia	ABVPR7369D	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
Gayatri Atul Ruia	ADQPR3700F	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
Atul Ruia Family Trust (Holding as Trustee of Ashokkumar Radhakrishna Ruia)	AAGTA3666E	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
Ashok Ruia Family Trust (Holding as Trustee of Atul Ashokkumar Ruia)	AAGTA3667F	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
Sharanya A. Ruia Beneficiary Trust	AADTS0295G	1	278935	0	0	278935	0.18	278935	0	278935	0.18	0	0.18	0	0.00	0	0.00	278935
Sharmila Dalmia	AACPD3869J	1	268853	0	0	268853	0.18	268853	0	268853	0.18	0	0.18	0	0.00	0	0.00	268853
(b) Central Government / State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c) Financial Institutions / Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d) Any Other (Specify)		5	86208962	0	0	86208962	56.22	86208962	0	86208962	56.22	0	56.22	0	0.00	0	0.00	86208962
Bodies Corporate		5	86208962	0	0	86208962	56.22	86208962	0	86208962	56.22	0	56.22	0	0.00	0	0.00	86208962
Ruia International Holding Company Private Limited	AACC89771C	1	49347248	0	0	49347248	32.18	49347248	0	49347248	32.18	0	32.18	0	0.00	0	0.00	49347248
Senior Advisory Services Pvt. Ltd (Formerly known as Senior Holding Pvt. Ltd.)	AAECS7488P	1	15490049	0	0	15490049	10.10	15490049	0	15490049	10.10	0	10.10	0	0.00	0	0.00	15490049
Radhakrishna Ramnarain Pvt. Ltd.	AAACR3297H	1	11667800	0	0	11667800	7.61	11667800	0	11667800	7.61	0	7.61	0	0.00	0	0.00	11667800
Ashok Apparels Pvt. Ltd.	AABCA1436E	1	9670665	0	0	9670665	6.31	9670665	0	9670665	6.31	0	6.31	0	0.00	0	0.00	9670665
Ashton Real Estate Development Pvt. Ltd.	AAFCA7183A	1	33200	0	0	33200	0.02	33200	0	33200	0.02	0	0.02	0	0.00	0	0.00	33200
Sub Total (A)(1)		8	90714487	0	0	90714487	59.16	90714487	0	90714487	59.16	0	59.16	0	0.00	0	0.00	90714487
2 Foreign																		
(a) Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b) Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c) Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d) Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e) Any Other (Specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
Sub Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)		8	90714487	0	0	90714487	59.16	90714487	0	90714487	59.16	0	59.16	0	0.00	0	0.00	90714487

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc. : NIL

Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

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Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares	Number of Shares pledged or otherwise		Number of equity shares held in dematerialised form		
								No of Voting Rights		Total as a % of (A+B+C)				No. (a)	As a % of total Shares held(b)			
								Class eg: X	Class eg: y								Total	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)	(XIII)	(XIV)			
1 Institutions																		
(a) Mutual Fund		11	9102459	0	0	9102459	5.94	9102459	0	9102459	5.94	0	5.94	0	0.00	NA	NA	9102459
Dsp Midcap Fund	AAAJD0430B	1	2883110	0	0	2883110	1.88	2883110	0	2883110	1.88	0	1.88	0	0.00	NA	NA	2883110
Reliance Capital Trustee Co Ltd.A/C Reliance Value Fund	AAATR0090B	1	2313125	0	0	2313125	1.51	2313125	0	2313125	1.51	0	1.51	0	0.00	NA	NA	2313125
Uti - Value Opportunities Fund	AAATU1088L	1	2113609	0	0	2113609	1.38	2113609	0	2113609	1.38	0	1.38	0	0.00	NA	NA	2113609
(b) Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(c) Alternate Investment Funds		6	1566872	0	0	1566872	1.02	1566872	0	1566872	1.02	0	1.02	0	0.00	NA	NA	1566872
(d) Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e) Foreign Portfolio Investor		169	40310357	0	0	40310357	26.29	40310357	0	40310357	26.29	0	26.29	0	0.00	NA	NA	40310357
Nordea 1 Stcav - Emerging Stars Equity Fund	AACCN4419K	1	5637170	0	0	5637170	3.68	5637170	0	5637170	3.68	0	3.68	0	0.00	NA	NA	5637170
Fidelity Investment Trust Fidelity Series Emerging Markets Opportunities Fund	AAATF2631A	1	3137838	0	0	3137838	2.05	3137838	0	3137838	2.05	0	2.05	0	0.00	NA	NA	3137838
Schroder International Selection Fund Emerging Asia	AAICS4854K	1	2278979	0	0	2278979	1.49	2278979	0	2278979	1.49	0	1.49	0	0.00	NA	NA	2278979
Vaneck Funds - Emerging Markets Fund	AAATV5835E	1	1973200	0	0	1973200	1.29	1973200	0	1973200	1.29	0	1.29	0	0.00	NA	NA	1973200
(f) Financial Institutions / Banks		4	20481	0	0	20481	0.01	20481	0	20481	0.01	0	0.01	0	0.00	NA	NA	20481
(g) Insurance Companies		2	87236	0	0	87236	0.06	87236	0	87236	0.06	0	0.06	0	0.00	NA	NA	87236
(h) Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(i) Any Other (Specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
Sub Total (B)(1)		192	51087405	0	0	51087405	33.31	51087405	0	51087405	33.31	0	33.31	0.00	0.00	NA	NA	51087405
2 Central Government/ State Government(s)/ President of India																		
Central Government / State Government(s)		1	6953	0.00	0	6953	0.00	6953	0	6953	0.00	0	0.00	0	0.00	NA	NA	6953
Sub Total (B)(2)		1	6953	0	0	6953	0	6953	0	6953	0	0	0	0	0	NA	NA	6953
3 Non-Institutions																		
(a) Individuals																		
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		10774	3185143	0	0	3185143	2.08	3185143	0	3185143	2.08	0	2.08	0	0.00	NA	NA	2875622
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		1	176700	0	0	176700	0.12	176700	0	176700	0.12	0	0.12	0	0.00	NA	NA	176700
(b) NBFCs registered with RBI		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(c) Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(d) Overseas Depositories(holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e) Any Other (Specify)		746	8178002	0	0	8178002	5.33	8178002	0	8178002	5.33	0	5.33	0	0.00	NA	NA	8173252
Investor Education and Protection Fund		1	805587	0	0	805587	0.53	805587	0	805587	0.53	0	0.53	0	0.00	NA	NA	805587
Trusts		4	11992	0	0	11992	0.01	11992	0	11992	0.01	0	0.01	0	0.00	NA	NA	11992
Hindu Undivided Family		217	67366	0	0	67366	0.04	67366	0	67366	0.04	0	0.04	0	0.00	NA	NA	67366
Foreign Companies		1	50000	0	0	50000	0.03	50000	0	50000	0.03	0	0.03	0	0.00	NA	NA	50000
Non Resident Indians (Non Repat)		103	101495	0	0	101495	0.07	101495	0	101495	0.07	0	0.07	0	0.00	NA	NA	101495
Directors & Relatives		3	77301	0	0	77301	0.05	77301	0	77301	0.05	0	0.05	0	0.00	NA	NA	77301
Non Resident Indians (Repat)		169	40176	0	0	40176	0.03	40176	0	40176	0.03	0	0.03	0	0.00	NA	NA	40176
Bodies Corporate		198	1474056	0	0	1474056	0.96	1474056	0	1474056	0.96	0	0.96	0	0.00	NA	NA	1469306
Clearing Member		50	5550029	0	0	5550029	3.62	5550029	0	5550029	3.62	0	3.62	0	0.00	NA	NA	5550029
Ubs Securities India Private Limited	AAACU1304N	1	5501395	0	0	5501395	3.59	5501395	0	5501395	3.59	0	3.59	0	0.00	NA	NA	5501395
Sub Total (B)(3)		11521	11539845	0	0	11539845	7.53	11539845	0	11539845	7.53	0	7.53	0	0.00	NA	NA	11225574
Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		11714	62634203	0	0	62634203	40.84	62634203	0	62634203	40.84	0	40.84	0	0.00	NA	NA	62319932

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %): NIL

*Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders	454	Outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	663450
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Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons:Institutions/Non Institutions holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

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Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or		Number of equity shares held in dematerialised form	
								No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held(b)		
								Class eg: X	Class eg: Y									Total
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1 Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
2 Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available

Sr. No.	Details of the significant beneficial owner (SBO)		Details of the registered owner		Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:					Date of creation / acquisition of significant beneficial interest
	Name	Nationality	Name	Nationality	Whether by virtue of:					
					Shares	Voting rights	Rights on distributable	Exercise of control	Exercise of significant influence	
1	Atul Ashokkumar Ruia	India	Atul Ashokkumar Ruia	India	2.58	-	-	No	No	08-02-2019
2	Atul Ashokkumar Ruia	India	Ruia International Holding Company Private Limited	India	32.18	-	-	No	No	08-02-2019
3	Atul Ashokkumar Ruia	India	Senior Advisory Services Private Limited	India	10.1	-	-	No	No	08-02-2019
4	Atul Ashokkumar Ruia	India	Radhakrishna Ramnarain Private Limited	India	7.61	-	-	No	No	08-02-2019
5	Atul Ashokkumar Ruia	India	Ashok Apparels Private Limited	India	6.31	-	-	No	No	08-02-2019
6	Atul Ashokkumar Ruia	India	Ashton Real Estate Development Private Limited	India	0.02	-	-	No	No	08-02-2019