

Shareholding Pattern under Regulation 31(1)(b) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

- 1 Name of Listed Entity: The Phoenix Mills Limited**
- 2 Scrip Code/Name of Scrip/Class of Security: 503100/PHOENIXLTD /EQUITY**
- 3 Share Holding Pattern Filed under Reg. 31(1)(b) for the Quarter ended June 30, 2020**
- 4 Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-**

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No
6	Whether the Company has equity shares with differential voting rights		No
7	Whether the Company has any Significant Beneficial Owner	Yes	

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

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Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Number of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: Y	Total								
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+ (vi)	(viii)As a % of (A+B+C2)	(ix)			(x)	(xi)= (vii)+(x) As a % of (A+B+C2)	(xii)		(xiii)		(xiv)	
(A)	Promoter & Promoter Group	8	90714487	0	0	90714487	59.11	90714487	0	90714487	59.11	0	59.11	0	0	0	0	90714487
(B)	Public	16044	62750703	0	0	62750703	40.89	62750703	0	62750703	40.89	0	40.89	0	0	NA	NA	62445931
(C)	Non Promoter - Non Public	0			0				0			0		0	NA	NA		
(C1)	Shares Underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
	Total	16052	153465190	0	0	153465190	100.00	153465190	0	153465190	100.00	0	100.00	0	0	0	0	153160418

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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
							No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)		
							Class eg: X	Class eg: Y									Total
(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)	(XIII)	(XIV)			
1 Indian																	
(a) Individuals / Hindu Undivided Family	3	4505525	0	0	4505525	2.94	4505525	0	4505525	2.94	0	2.94	0	0.00	0	0.00	4505525
Atul Ashokkumar Ruia	1	3957737	0	0	3957737	2.58	3957737	0	3957737	2.58	0	2.58	0	0.00	0	0.00	3957737
Amla Ashokkumar Ruia	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
Gayatri Atul Ruia	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
Atul Ruia Family Trust (Ashokkumar Radhakrishna Ruia Holding as Trustee for Atul Ruia Family Trust)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
Ashok Ruia Family Trust (Atul Ashokkumar Ruia Holding as Trustee for Ashok Ruia Family Trust)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
Sharanya A.Ruia Beneficiary Trust	1	278935	0	0	278935	0.18	278935	0	278935	0.18	0	0.18	0	0.00	0	0.00	278935
Sharmila Dalmia	1	268853	0	0	268853	0.18	268853	0	268853	0.18	0	0.18	0	0.00	0	0.00	268853
(b) Central Government / State Government(s)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c) Financial Institutions / Banks	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d) Any Other (Specify)	5	86208962	0	0	86208962	56.17	86208962	0	86208962	56.17	0	56.17	0	0	0	0.00	86208962
Bodies Corporate	5	86208962	0	0	86208962	56.17	86208962	0	86208962	56.17	0	56.17	0	0	0	0.00	86208962
Ruia International Holding Company Private Limited	1	49347248	0	0	49347248	32.16	49347248	0	49347248	32.16	0	32.16	0	0.00	0	0.00	49347248
Senior Advisory Services Private Limited (Formerly known as Senior Holding Private Limited)	1	15490049	0	0	15490049	10.09	15490049	0	15490049	10.09	0	10.09	0	0.00	0	0.00	15490049
Radhakrishna Ramnarain Private Limited	1	11667800	0	0	11667800	7.60	11667800	0	11667800	7.60	0	7.60	0	0.00	0	0.00	11667800
Ashok Apparels Private Limited	1	9670665	0	0	9670665	6.30	9670665	0	9670665	6.30	0	6.30	0	0.00	0	0.00	9670665
Ashton Real Estate Development Private Limited	1	33200	0	0	33200	0.02	33200	0	33200	0.02	0	0.02	0	0.00	0	0.00	33200
Sub Total (A)(1)	8	90714487	0	0	90714487	59.11	90714487	0	90714487	59.11	0	59.11	0	0.00	0	0.00	90714487
2 Foreign																	
Individuals (Non-Resident Individuals /																	
(a) Foreign Individuals	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b) Government	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c) Institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d) Foreign Portfolio Investor	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e) Any Other (Specify)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
Sub Total (A)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
Total Shareholding Of Promoter And Promoter Group (A)=(A)(1)+(A)(2)	8	90714487	0	0	90714487	59.11	90714487	0	90714487	59.11	0	59.11	0	0	0	0.00	90714487

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc. : NIL

Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

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Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held (b)
								Class eg: X	Class eg: y	Total								
	(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1	Institutions																	
(a)	Mutual Fund	14	15589781	0	0	15589781	10.16	15589781	0	15589781	10.16	0	10.16	0	0.00	NA	NA	15589781
	Icici Prudential Multicap Fund	1	3442207	0	0	3442207	2.24	3442207	0	3442207	2.24	0	2.24	0	0.00	NA	NA	3442207
	Dsp Midcap Fund	1	2627874	0	0	2627874	1.71	2627874	0	2627874	1.71	0	1.71	0	0.00	NA	NA	2627874
	Uti- Value Opportunities Fund	1	2384270	0	0	2384270	1.55	2384270	0	2384270	1.55	0	1.55	0	0.00	NA	NA	2384270
	Reliance Capital Trustee Co Ltd-A/C Nippon India Growth Fund	1	2272274	0	0	2272274	1.48	2272274	0	2272274	1.48	0	1.48	0	0.00	NA	NA	2272274
	Motilal Oswal Long Term Equity Fund	1	1627893	0	0	1627893	1.06	1627893	0	1627893	1.06	0	1.06	0	0.00	NA	NA	1627893
(b)	Venture Capital Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(c)	Alternate Investment Funds	6	940408	0	0	940408	0.61	940408	0	940408	0.61	0	0.61	0	0.00	NA	NA	940408
(d)	Foreign Venture Capital Investors	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)	Foreign Portfolio Investor	174	39496483	0	0	39496483	25.74	39496483	0	39496483	25.74	0	25.74	0	0.00	NA	NA	39496483
	Schroder International Selection Fund Emerging Asia	1	4355789	0	0	4355789	2.84	4355789	0	4355789	2.84	0	2.84	0	0.00	NA	NA	4355789
	Fidelity Investment Trust Fidelity Series Emerging Markets Opportunities Fund	1	2770797	0	0	2770797	1.81	2770797	0	2770797	1.81	0	1.81	0	0.00	NA	NA	2770797
	Nordea 1 Sicav - Emerging Stars Equity Fund	1	2113866	0	0	2113866	1.38	2113866	0	2113866	1.38	0	1.38	0	0.00	NA	NA	2113866
	Vaneck Funds - Emerging Markets Fund	1	1973200	0	0	1973200	1.29	1973200	0	1973200	1.29	0	1.29	0	0.00	NA	NA	1973200
	Schroder Asian Growth Fund	1	1610424	0	0	1610424	1.05	1610424	0	1610424	1.05	0	1.05	0	0.00	NA	NA	1610424
(f)	Financial Institutions / Banks	2	13275	0	0	13275	0.01	13275	0	13275	0.01	0	0.01	0	0.00	NA	NA	13275
(g)	Insurance Companies	3	962937	0	0	962937	0.63	962937	0	962937	0.63	0	0.63	0	0.00	NA	NA	962937
(h)	Provident Funds/ Pension Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(i)	Any Other (Specify)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	Sub Total (B)(1)	199	57002884	0	0	57002884	37.14	57002884	0	57002884	37.14	0	37.14	0	0.00	NA	NA	57002884
2	Central Government/ State Government(s)/ President of India																	
	Central Government / State Government(s)	1	6953	0	0	6953	0.00	6953	0	6953	0.00	0	0.00	0	0.00	NA	NA	6953
	Sub Total (B)(2)	1	6953	0	0	6953	0.00	6953	0	6953	0.00	0	0.00	0	0.00	NA	NA	6953
3	Non-Institutions																	
(a)	Individuals																	
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	14893	3437933	0	0	3437933	2.24	3437933	0	3437933	2.24	0	2.24	0	0.00	NA	NA	3137911
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	1	176725	0	0	176725	0.12	176725	0	176725	0.12	0	0.12	0	0.00	NA	NA	176725
(b)	NBFCs registered with RBI	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(c)	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(d)	Overseas Depositories(holding DRs) (balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)	Any Other (Specify)	950	2126208	0	0	2126208	1.39	2126208	1.39	2126208	1.39	0	1.39	0	0.00	NA	NA	2121458
	Investor Education and Protection Fund	1	894181	0	0	894181	0.58	894181	0	894181	0.58	0	0.58	0	0.00	NA	NA	894181
	Trusts	2	8500	0	0	8500	0.01	8500	0	8500	0.01	0	0.01	0	0.00	NA	NA	8500
	Hindu Undivided Family	275	63710	0	0	63710	0.04	63710	0	63710	0.04	0	0.04	0	0.00	NA	NA	63710
	Non Resident Indians (Non Repat)	133	116550	0	0	116550	0.08	116550	0	116550	0.08	0	0.08	0	0.00	NA	NA	116550
	Directors & Relatives	3	79301	0	0	79301	0.05	79301	0	79301	0.05	0	0.05	0	0.00	NA	NA	79301
	Non Resident Indians (Repat)	251	48495	0	0	48495	0.03	48495	0	48495	0.03	0	0.03	0	0.00	NA	NA	48495
	Bodies Corporate	236	878871	0	0	878871	0.57	878871	0	878871	0.57	0	0.57	0	0.00	NA	NA	874121
	Clearing Member	49	36600	0	0	36600	0.02	36600	0	36600	0.02	0	0.02	0	0.00	NA	NA	36600
	Sub Total (B)(3)	15844	5740866	0	0	5740866	3.74	5740866	0	5740866	3.74	0	3.74	0	0.00	NA	NA	5436094
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	16044	62750703	0	0	62750703	40.89	62750703	0	62750703	40.89	0	40.89	0	0.00	NA	NA	62445931

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %): Nil

*Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders	411
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Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons:institutions/Non Institutions holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

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Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or		Number of equity shares held in dematerialised form	
								No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held(b)		
								Class eg: X	Class eg: Y									Total
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1 Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
2 Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available

Sr. No.	Details of the significant beneficial owner (SBO)		Details of the registered owner		Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:					Date of creation / acquisition of significant beneficial interest
	Name	Nationality	Name	Nationality	Whether by virtue of:					
					Shares	Voting rights	Rights on distributable	Exercise of control	Exercise of significant influence	
1	Atul Ashokkumar Ruia	India	Atul Ashokkumar Ruia	India	2.58	-	-	No	No	08-02-2019
2	Atul Ashokkumar Ruia	India	Ruia International Holding Company Private Limited	India	32.16	-	-	No	No	08-02-2019
3	Atul Ashokkumar Ruia	India	Senior Advisory Services Private Limited	India	10.09	-	-	No	No	08-02-2019
4	Atul Ashokkumar Ruia	India	Radhakrishna Ramnarain Private Limited	India	7.60	-	-	No	No	08-02-2019
5	Atul Ashokkumar Ruia	India	Ashok Apparels Private Limited	India	6.30	-	-	No	No	08-02-2019
6	Atul Ashokkumar Ruia	India	Ashton Real Estate Development Private Limited	India	0.02	-	-	No	No	08-02-2019